



# ArcRegulatory

One platform for complete transparency over your regulatory requirements in Europe.



## What is ArcRegulatory?

ArcRegulatory is DFIN's proprietary, innovative global regulatory platform. It's a single, cloud-based solution for managing European regulatory requirements — fully supporting PRIIPs and MiFID II reporting for asset managers and insurers. With ArcRegulatory, you get:



An advanced user experience for consistent inbound **data management and visualisation** through DFIN's ingestion engine.



**User-defined report distribution** to predefined entities, reducing risk and duplication of effort.



**Rapid, integrated arrival price gathering** for calculating transaction "slippage" costs (implicit costs).



**A team of professional service experts** that advise on PRIIPs and MiFID II compliance, regulatory changes and best practices.



**Access to DFIN working groups** to discuss and align on new regulations.



**High volume calculations that are automatically validated** against market driven tolerances and your defined business rules.



Underlying **calculation transparency, consistency and granularity** to drill into all elements of risk, performance and transaction-cost calculations.



A single, **customised regulatory book of record (RBOR)** to manage your global regulatory data — eliminating the need for multiple vendors.

# Benefits

<b>DATA CONFORMITY AND TEMPLATE MALLEABILITY</b>	DFIN's ingestion template is a conformed and consolidated baseline for data points that may be required across the regulatory landscape. It can be enhanced, enriched and developed in line with your global regulatory requirements and roadmap.
<b>INBOUND DATA TRANSFER</b>	ArcRegulatory's technology affords the interconnectivity and pass-through of inbound data via SFTP or a direct API connector(s).
<b>INBOUND DATA MANAGEMENT</b>	ArcRegulatory's inbound data-management approach makes it easy to address potential problems at the beginning of the production process. This eliminates the challenge of having to identify and solve issues later on, during the output or review process.
<b>MARKET DATA MANAGEMENT AND ARRIVAL PRICE GATHERING</b>	Supported by DFIN's deep connection to market vendors, ArcRegulatory accelerates data gathering, reduces associated overhead and integrates data directly into your reports with minimal manual intervention.
<b>CALCULATION</b>	<p>ArcRegulatory's calculation engine delivers greater insight into your calculation results, letting you drill into any underlying element of your data.</p> <p>Combined with the platform's intuitive dashboard, this level of granularity gives you an analytical edge, helping you enhance the production process and interact more meaningfully with internal stakeholders.</p>
<b>DISTRIBUTION</b>	With a configurable set of rules for defining recipients, receiving entities and distribution platforms, ArcRegulatory gives you greater control over the distribution of your reports, elevating your transparency and compliance.

# Frequently Asked Questions

<b>WHAT IS A GLOBAL REGULATORY PLATFORM?</b>	A global regulatory platform is a unified, cloud-based platform that enables fund companies and insurers to easily satisfy their regulatory requirements across multiple jurisdictions — all from one place.
<b>WHY SHOULD I USE A GLOBAL REGULATORY PLATFORM?</b>	As a multi-functional resource, a global regulatory platform helps organisations operating globally consolidate their reporting solutions, mitigate regulatory-based risks and drive efficiencies when managing a high volume of data and reporting obligations.
<b>WHAT CAN ARCREGULATORY DO?</b>	As an efficient, easy-to-use platform, ArcRegulatory can help you manage your PRIIPs and MiFID II regulatory reporting requirements in Europe.
<b>WHAT REPORT TYPES ARE SUPPORTED BY ARCREGULATORY UNDER THE PRIIPS AND MIFID II REGULATIONS?</b>	ArcRegulatory is an end-to-end solution that supports all of your regulatory reporting needs, such as: <div style="display: flex; justify-content: space-between;"><div style="width: 45%;"><p><b>For asset managers:</b></p><ul style="list-style-type: none"><li>• EPT, EMT, FVPT, CEPT, and DCPT</li><li>• Article 50/60 (MiFID KID)</li><li>• PRIIPS KID</li><li>• Segregated mandates</li><li>• Transaction cost only reporting, including Cost Transparency Initiative (CTI)</li></ul></div><div style="width: 45%;"><p><b>For insurers:</b></p><ul style="list-style-type: none"><li>• EPT</li><li>• Product-level KIDs</li><li>• Investment option document production</li><li>• Sourcing and validation of EPT, EMT, and DCPT</li><li>• Product-level website hosting</li></ul></div></div>

## Frequently Asked Questions Cont.

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### **WHY SHOULD I PARTNER WITH DFIN?**

DFIN is the industry's most experienced global regulatory reporter and the largest filing agent in the U.S., with 41% of the U.S. market. Only DFIN has the expertise to develop a platform that not only accurately and efficiently solves your regulatory pains, but delivers an immensely valuable, customised book of record. The combination puts you in the middle of a regulatory ecosystem in which you gain increased control and transparency.

Additionally, as regulations continue to shift, ArcRegulatory will too, ensuring you always benefit from the simplicity and ease of using one platform to satisfy all of your global regulatory obligations.

ArcRegulatory also offers a direct line to your RBOR — your regulatory book of record.

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By using ArcRegulatory, you will organically build a customised data repository that delivers numerous benefits: a record of the intelligence used to transform investment data into regulatory reports, a better cost model for middle and back offices, and more.



Partner with DFIN and experience the future of global regulatory reporting.  
Visit [DFINsolutions.com](https://www.dfinsolutions.com).