



PRODUCTS & SOLUTIONS | ARCREGULATORY

# ArcRegulatory for PRIIPs KIDs

One platform for complete transparency over your regulatory requirements in Europe

## WHAT IS A PRIIPS KID?

A PRIIPs KID (Key Information Document) is a three-page pre-contractual document that provides investors with key information on the investment products they may be interested in.

It includes information regarding the type of fund, the fund objective and the intended investor type that can invest in the fund. It also provides information on the risk and return, the costs associated with investing into the fund and information regarding the fund's manufacturer.

Implementation, production, distribution and general maintenance of the KID can create significant challenges for asset managers. ArcRegulatory overcomes these issues by leveraging DFIN's innovative global regulatory reporting platform.

ArcRegulatory covers all aspects of PRIIPs KID production through a single platform, which incorporates data ingestion, data integration, calculation, translation, production, monitoring/exception reporting and distribution.

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ArcRegulatory calculates and produces **20,000** EPTs per month.

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## KEY BENEFITS OF USING ARCREGULATORY FOR PRIIPS KID PRODUCTION

**Data Transparency and Intuitive Process:** All associated PRIIPs KID data is available for review through dashboards and can be exported at any stage of the production process. End users can monitor data changes directly via the dashboards, providing transparency over the process.

**Risk and Performance Calculations:** ArcRegulatory operates from a standard data model that checks inbound data consistency as well as performing tolerance checks on all calculations.

**Reduction in Yield Calculations:** ArcRegulatory automates all reduction in yield calculations.

**Transaction Cost Calculations:** ArcRegulatory calculates transaction costs (ex ante and ex post) using full PRIIPs, new PRIIPs or a hybrid of both. In addition, the platform has the ability to source arrival prices used in these calculations.

**Textual Content Consistency:** Ensure that your textual content across share classes, funds and general documents is consistent using DFIN's multilanguage content library.

**Translation Monitoring:** All documents must be available in the local language of all countries of distribution. ArcRegulatory monitors all changes to the base language and informs you if translations are required per distribution.

**Document Conformity:** ArcRegulatory checks and ensures that all documents are three pages in length and warns you if this is not the case.

**Flexible and Branded Layout:** ArcRegulatory creates tailor-made documents with flexible layout based on your corporate branding and template requirements.

**Reduced Operational Footprint:** Use the ArcRegulatory intuitive dashboards to view current status and update multiple documents through a simple interface.

## IMPLEMENTATION AND MIGRATION

### Content Review

The introduction of PRIIPs KIDs allows for an opportunity for all asset managers to review and standardise their regulatory documentation. This process does not need to be an onerous and time-consuming task. DFIN has developed a range of natural language processing applications to allow our clients to:

1. Review large volumes of documents in an intuitive tabular format.
2. Follow a simple scoring methodology to see how “similar” text is across documents.
3. Easily identify inconsistencies in shared content and update it if necessary.
4. Reload all their updates into ArcRegulatory with minimum manual intervention.

### Data Management and Calculations

The introduction of the PRIIPs KID requires that you produce the following calculations:

1. SRI: Synthetic Risk Indicator
2. Performance Scenarios: Stress, Unfavourable, Moderate and Favourable Scenarios
3. RIY: Reduction in Yield Calculations
4. Costs: General Costs and Transaction Cost Calculations

The ArcRegulatory data model supports all of the aforementioned calculations as well as supporting the production of EPTs, EMTs, DCPTs and ICTs. This flexible data model allows you to standardise your inbound data feeds and automatically validate them against over 1,000 data field checks. This level of automation greatly optimises the operational processes associated with PRIIPs KID production.

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1,500  
INBOUND DATA AND  
TOLERANCE CHECKS

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## Service and Support

The ArcRegulatory platform is managed by a team of experienced technical and regulatory experts. This team will guide you through the implementation/migration process and will assist you in identifying the optimal operating model for you. You will also have access to our regulatory watch updates that keep you informed of all major changes in local regulations.

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## AUTOMATED OUTBOUND DISTRIBUTION CONFORMITY CHECKS

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### About Donnelley Financial Solutions (DFIN)

DFIN is a leading global risk and compliance company.

We're here to help you make smarter decisions with insightful technology, industry expertise and data insights at every stage of your business and investment lifecycles.

As markets fluctuate, regulations evolve and technology advances, we're there. And through it all, we deliver confidence with the right solutions in moments that matter.

Learn about DFIN's end-to-end risk and compliance solutions.

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