



PRODUCTS & SOLUTIONS | ARCFILING

ArcFilingSM: A Complete Solution for Form N-CEN Filing

Key details on SEC Modernization and Form N-CEN

On October 13, 2016, the SEC adopted rules to modernize the information reported by both mutual funds and exchange-traded funds, aiming to capture more detailed data to enhance transparency and predictability. Among the significant impacts is the new Form N-CEN, a census-focused disclosure effective June 1, 2018.

Form N-CEN is required to be filed annually in XML format during a period of 75 days after the fiscal year-end for all investment management companies and calendar year-end for unit investment trusts. Form N-CEN effectively rescinds Form N-SAR.

A complete SEC filing solution incorporating data partners

Donnelley Financial Solutions (DFIN) offers ArcFiling, an end-to-end solution that automates the collection, creation, review and self-filing of Form N-CEN. ArcFiling is built on FundSuiteArc®, a self-service, web-based platform with sophisticated content sharing and collaboration tools.

ArcFiling offers the following benefits:

- **Oversight and control.** Advanced validation tools such as audit tracking, exception-based reporting and user permissioning to provide transparency and control.
- **Content sharing.** Ensures consistency and version control through the reuse and sharing of common content across reporting cycles and regulatory filings. Data is stored and rolled forward for use each filing. All prior period filings are accessible in a historical archive.
- **Integration with FundSuiteArc.** Real-time integration with ArcFiling (N-PORT), ArcReporting and ArcPro for efficiency and consistency of data with other Form N filings.
- **Backed by experts.** DFIN is one of the nation's largest filers, with trained and dedicated customer service experts who are on standby should you need immediate support for a self-service or managed service solution.

Here's how ArcFiling works:



Built on DFIN's SEC expertise

ArcFiling has embedded functionality specific to supporting N-CEN, such as the automatic assembly and validation of Form N-CEN XML. Data can be shared across form types to ensure consistency, control and maximum efficiency. The platform's advanced functionality, combined with DFIN's experience in developing SEC filing tools and its alliances with industry leaders, makes ArcFiling the most complete offering for meeting SEC Report Modernization requirements.

DFIN was the company to successfully live file Form N-CEN with the SEC in September 2018. Read more about this milestone [here](#).

Solving for Form N-CEN

Aggregating the data and creating a valid XML for Form N-CEN will be a critical process. DFIN has integrated with industry-leading technology and data providers to deliver a complete solution to ease the process. These integration points will help filers meet the derived data and taxonomy challenges and are wrapped in a single solution, ArcFiling, for the data ingestion, validation and filing capabilities to meet the N-CEN requirements.

FundSuiteArc

Faster, easier and smarter fund communications

The FundSuiteArc platform and its products, ArcFiling, ArcMarketing, ArcPro and ArcReporting, encompass a truly integrated end-to-end solution. Functions and data are shared and integrated across the platform so you can create, manage and produce the offering documents, financial reports and marketing communications and file the data and information with regulators.

About Donnelley Financial Solutions (DFIN)

DFIN is a leading global risk and compliance company.

We're here to help you make smarter decisions with insightful technology, industry expertise and data insights at every stage of your business and investment lifecycles.

As markets fluctuate, regulations evolve and technology advances, we're there. And through it all, we deliver confidence with the right solutions in moments that matter.

Learn about DFIN's end-to-end risk and compliance solutions.

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