

CALENDAR

2020 SEC Filing Calendar

EDGAR filing deadlines, peak periods and holidays

DFINsolutions.com

DFIN 2020 SEC Filing Dates





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Form 10-K Due for FYE 12/31	3/2				Form 13F Due for calendar quarter-end (3/31)				5/15
Late Form 10-K Due for FYE 12/31	3/17				Form 13H-Q (3/31)				4/10
Form 10-Q Due (12/31)	2/10	2/10			Form 10-K Due for FYE 6/30	8/31			
Late Form 10-Q Due (12/31)	2/18	2/18			Late Form 10-K Due (6/30)	9/15			
Form 10-K Due for FYE 12/31		3/16			Form 10-Q Due (6/30)	8/10	8/10		
Late Form 10-K Due for FYE 12/31		3/31			Late Form 10-Q Due (6/30)	8/17	8/17		
Form 10-K Due for FYE 12/31			3/30		Form 10-K Due for FYE 6/30		9/14		
Late Form 10-K Due for FYE 12/31			4/14		Late Form 10-K Due for FYE 6/30		9/29		
Form 10-Q Due (12/31)			2/14		Form 10-K Due for FYE 6/30			9/28	
Late Form 10-Q Due (12/31)			2/19		Late Form 10-K Due for FYE 6/30			10/13	
Form 11-K (Not Subj. to ERISA) Due for FYE 12/31				3/30	Form 10-Q Due (6/30)			8/14	
Late Form 11-K (Not Subj. to ERISA) Due for FYE 12/31				4/14	Late Form 10-Q Due (6/30)			8/19	
Form 11-K (Subj. to ERISA) Due for FYE 12/31				6/29	Form 11-K (Not Subj. to ERISA) Due for FYE 6/30				9/28
Late Form 11-K (Subj. to ERISA) Due for FYE 12/31				7/14	Late Form 11-K (Not Subj. to ERISA) Due for FYE 6/30				10/13
Form 5 Due for FYE 12/31				2/14	Form 11-K (Subj. to ERISA) Due for FYE 6/30				12/2
Form 20-F Due for FYE 12/31				4/30	Late Form 11-K (Subj. to ERISA) Due for FYE 6/30				1/12/2
Late Form 20-F Due for FYE 12/31				5/15	Form 5 Due for FYE 6/30				8/14
Schedule 13G Due for calendar year 12/31				2/14	Form 20-F for FYE 6/30				11/2
Form 13F for calendar year-end 12/31				2/14	Late Form 20-F for FYE 6/30				11/1
Form 13H-Q (12/31)				1/10	Form 13F Due for calendar quarter-end (6/30)				8/14
Form 13H-A (combo) (12/31)				1/10	Form 13H-Q (6/30)				7/10
Form 13H-A (12/31)				2/14	Form 10-K Due for FYE 9/30	11/30			
Form SD for Conflict Minerals Issuers (12/31)				6/1	Late Form 10-K Due for FYE 9/30	12/15			
Form 10-K Due for FYE 3/31	6/1				Form 10-Q Due (9/30)	11/9	11/9		
Late Form 10-K Due for FYE 3/31	6/16				Late Form 10-Q Due (9/30)	11/16	11/16		
Form 10-Q Due (3/31)	5/11	5/11			Form 10-K Due for FYE 9/30		12/14		
Late Form 10-Q Due (3/31)	5/18	5/18			Late Form 10-K Due FYE 9/30		12/29		
Form 10-K Due for FYE 3/31		6/15			Form 10-K Due for FYE 9/30			12/29	
Late Form 10-K Due for FYE 3/31		6/30			Late Form 10-K Due for FYE 9/30			1/13/21	
Form 10-K Due for FYE 3/31			6/29		Form 10-Q Due (9/30)			11/16	
Late Form 10-K Due for FYE 3/31			7/14		Late Form 10-Q Due (9/30)			11/23	
Form 10-Q Due (3/31)			5/15		Form 5 Due for FYE 9/30				11/16
Late Form 10-Q Due (3/31)			5/20		Form 13F Due for calendar quarter-end (9/30)				11/16
Form 5 Due for FYE 3/31				5/15	Form 13H-Q (9/30)				10/1

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2020 FEDERAL HOLIDAYS

New Year's Day	January 1	Independence Day	July 3
Martin Luther King, Jr. Day	January 20	Labor Day	September 7
Presidents' Day/	February 17	Columbus Day	October 12
Washington's Birthday		Veterans Day	November 11
Memorial Day	May 25	Thanksgiving Day	November 26
		Christmas Day	December 25

If a filing date falls on a weekend or federal holiday, the due date will fall on the next business day, pursuant to Securities Exchange Act of 1934 Rule 0-3(a).

GENERAL FILING DEADLINES

 calendar days after fiscal year-end calendar days after fiscal quarter-end calendar days after fiscal year-end calendar days after fiscal quarter-end FOREIGN PRIVATE ISSUERS ithin four months of fiscal year-end ue for Canadian issuers on the same date the issuers' annual report i
D calendar days after fiscal quarter-end D calendar days after fiscal year-end 5 calendar days after fiscal quarter-end FOREIGN PRIVATE ISSUERS ithin four months of fiscal year-end ue for Canadian issuers on the same date the issuers' annual report i
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ithin four months of fiscal year-end ue for Canadian issuers on the same date the issuers' annual report i
ue for Canadian issuers on the same date the issuers' annual report i
DDITIONAL EDGAR FILINGS DATES
) days after individual becomes a reporting person
nd business day after a company insider trades registered securities the company
5 calendar days after issuer's fiscal year-end
) days after calendar quarter-end
ombined Q4/Annual Report due 10 days after calendar year-end
5 days after calendar year-end
ue annually on May 31
Part III of your 10-K incorporates information from your proxy atement, you are required to file the proxy statement no later than 12 ays after the fiscal year-end. If you are unable to file within the 120-da mit for the proxy statement expressly indicated in your 10-K, file an mended 10-K to include the Part III information. This amended 10-K ust be filed no later than 120 days after the fiscal year-end.
) days after the acquisition of more than 5% beneficial ownership
5 days after calendar year-end and 45 days after each of the first thre alendar quarters
5 days after calendar year-end
) days after fiscal year-end
30 days after fiscal year-end
ue no later than one business day after the original filing deadline
p to 15 calendar days of original filing deadline
p to 5 calendar days of original filing deadline

Companies may request more time to file Forms 10-Q, 10-K, 11-K and 20-F by filing for an extension with Form 12b-25 via EDGAR. In filing this form, a filer may gain up to 5 additional days to file Form 10-Q or 15 additional days to file Forms 10-K, 11-K and 20-F. The Form 12b-25 should be filed by 5:30 p.m. Eastern Time the next business day after the original filing deadline. Note: Form 12b-25 cannot be used solely to request an extension to submit XBRI filings.

SEC HOURS OF OPERATION

The hours of operation for transmitting submissions to the SEC's EDGAR system are 6:00 a.m. to 10:00 p.m. Eastern Time, weekdays, excluding U.S. federal holidays. Filings submitted after 5:30 p.m. Eastern Time, with the exception of Form 13H, Section 16 filings, Correspondence and filings pursuant to Rule 462(b), will receive the next business day's filing date.

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29	28	27	26	25	24	23						30	29

JANUARY 2020

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
			1 New Year's Day	2	3	4
			SEC Closed, Check Business News for US Market Openings			
5	6	7	8	9	10	11
					APC: Form 13H-Q (12/31) Form 13H-A (combo) (12/31)	
12	13	14	15	16	17	18
		NAF: Late Form 10-K Due for FYE 9/30/19				
	APC: Late Form 11-K (Subj. to ERISA) Due for FYE 6/30/19	APC: Late Form 11-K (Not Subj. to ERISA) Due for FYE 9/30/19				
19	20 Martin Luther King, Jr. Day	21	22	23	24	25
	SEC Closed, US Markets Closed					
26	27	28	29	30	31	
					INT'L: Form 20-F Due for FYE 9/30/19	



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FEBRUARY 2020

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
						1
2 Groundhog Day	3	4	5	6	7	8
	INT'L: NT 20-F Due for FYE 9/30/19					
9	10	11	12	13	14 Valentine's Day	15
					NAF: Form 10-Q Due (12/31)	
					APC: Form 5 Due for FYE 12/31, Schedule 13G Due for calendar year 12/31, Form 13F for	
	LAF & AF: Form 10-Q Due (12/31)	LAF & AF: NT 10-Q Due (12/31)			calendar year-end 12/31, Form 13H-A (12/31)	
16	17 Presidents' Day/ Washington's Birthday	18	19	20	21	22
		LAF & AF: Late Form 10-Q Due (12/31)				
		NAF: NT 10-Q Due (12/31)				
	SEC Closed, US Markets Closed	INT'L: Late Form 20-F Due for FYE 9/30/19	NAF: Late Form 10-Q Due (12/31)			
23	24	25	26 Ash Wednesday	27	28	29



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		30	29	28	27	26	29	28	27	26	25	24	23

MARCH 2020

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
1	2	3	4	5	6	7
	LAF: Form 10-K Due for FYE 12/31	LAF: NT 10-K Due for FYE 12/31				
8 Daylight Saving Time begins	9	10	11	12	13	14
15	16	17 St. Patrick's Day	18	19 First Day of Spring	20	21
		LAF: Late Form 10-K Due for FYE 12/31				
	AF: Form 10-K Due for FYE 12/31	AF: NT 10-K Due for FYE 12/31				
22	23	24	25	26	27	28
29	30	21				
27	20	31 AF: Late Form 10-K Due for FYE 12/31				
	NAF: Form 10-K Due for FYE 12/31	NAF: NT 10-K Due for FYE 12/31				
	APC: Form 11-K (Not Subj. to ERISA) Due for FYE 12/31.	APC: NT Form 11-K (Not Subj. to FRISA) Due for FYF 12/31.				
	Form 11-K (Subj. to ERISA) Due for FYE 9/30/19	NT Form 11-K (Subj. to ERISA) Due for FYE 9/30/19				



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30					24/31	25	26	27	28	29	30

APRIL 2020

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
			1 April Fools' Day	2	3	4
5 Palm Sunday	6	7	8 Passover begins at sunset	9	10 Good Friday	11
					APC: Form 13H-Q (3/31)	
					SEC Open, US Markets Closed	
12 Easter	13	14	15	16 Passover ends at nightfall	17	18
		NAF: Late Form 10-K Due for FYE 12/31				
		APC: Late Form 11-K (Not Subj. to ERISA) Due for FYE 12/31, Late Form 11-K (Subj. to ERISA) Due for FYE 9/30/19				
19	20	21	22 Earth Day Administrative	23 Ramadan begins at sunset	24	25
			Professionals Day			
26	27	28	29	30		
				INT'L: Form 20-F Due for		
				FYE 12/31		



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MAY 2020

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
					1 INT'L: NT 20-F Due for FYE 12/31	2
3	4	5 Cinco de Mayo	6	7	8	9
10 Mother's Day	11 LAF & AF: Form 10-Q Due (3/31)	12 LAF & AF: NT 10-Q Due (3/31)	13	14	15 NAF: Form 10-Q Due (3/31) APC: Form 5 Due for FYE 3/31, Form 13F Due for calendar quarter-end (3/31) INT'L: Late Form 20-F Due for FYE 12/31	16 Armed Forces Day
17	18 LAF & AF: Late Form 10-Q Due (3/31) NAF: NT 10-Q Due (3/31)	19	20 NAF: Late Form 10-Q Due (3/31)	21	22	23 Ramadan ends
24	25 Memorial Day	26	27	28	29	30
31	SEC Closed US Markets Closed					
	SEC Closed, US Markets Closed					



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		30	29	28	27	26	30	29	28	27	26	25	24/31			

JUNE 2020

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
	1 LAF: Form 10-K Due for	2	3	4	5	6
	LAF: Form 10-K Due for FYE 3/31 APC: Form SD for Conflict Minerals Issuers (12/31)	LAF: NT 10-K Due for FYE 3/31				
7	8	9	10	11	12	13
14 Flag Day	15 AF: Form 10-K Due for FYE 3/31	16 LAF: Late Form 10-K Due for FYE 3/31 AF: NT 10-K Due for FYE 3/31	17	18	19	20 First Day of Summer
21 Father's Day	22	23	24	25	26	27
28	29 NAF: Form 10-K Due for FYE 3/31 APC: Form 11-K (Subj. to ERISA) Due for FYE 12/31, Form 11-K (Not Subj. to ERISA) Due for FYE 3/31	30 AF: Late Form 10-K Due for FYE 3/31 NAF: NT 10-K Due for FYE 3/31 APC: NT Form 11-K (Subj. to ERISA) Due for FYE 12/31, NT Form 11-K (Not Subj. to ERISA) Due for FYE 3/31				



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JULY 2020

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
			1	2	3 Independence Day	4
					SEC Closed, US Markets Closed	
5	6	7	8	9	10	11
					APC: Form 13H-Q (6/30)	
12	13	14 NAF: Late Form 10-K Due for FYE 3/31 APC: Late Form 11-K (Subj. to ERISA) Due for FYE 12/31, Late Form 11-K (Not Subj. to ERISA) Due for FYE 3/31	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31 INT'L: Form 20-F Due for FYE 3/31	



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AUGUST 2020

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
						1
2	3	4	5	6	7	8
9	INT'L: NT 20-F Due for FYE 3/31	11	12	13	14 NAF: Form 10-Q Due (6/30)	15
16	LAF & AF: Form 10-Q Due (6/30) 17	LAF & AF: NT 10-Q Due (6/30) 18	19	20	APC: Form 5 Due for FYE 6/30, Form 13F Due for calendar quarter-end (6/30)	22
	LAF & AF: Late Form 10-Q Due (6/30) NAF: NT 10-Q Due (6/30) INT'L: Late Form 20-F for FYE 3/31		NAF: Late Form 10-Q Due (6/30)			
23	24	25	26	27	28	29
30	31 LAF: Form 10-K Due for FYE 6/30					



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SEPTEMBER 2020

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
		1	2	3	4	5
		LAF: NT 10-K Due for FYE 6/30				
6	7 Labor Day	8	9	10	11 Patriot Day	12
	SEC Closed, US Markets Closed					
13	14	15	16	17	18 Rosh Hashanah begins at sunset	19
	AF: Form 10-K Due for FYE 6/30	LAF: Late Form 10-K Due (6/30) AF: NT 10-K Due for FYE 6/30				
20 Rosh Hashanah ends at nightfall	21	22 First Day of Autumn	23	24	25	26
27 Yom Kippur begins at sunset	28 Yom Kippur ends at nightfall NAF: Form 10-K Due for FYE 6/30	29 AF: Late Form 10-K Due for FYE 6/30 NAF: NT 10-K Due for FYE 6/30	30			
	APC: Form 11-K (Subj. to ERISA) Due for FYE 3/31, Form 11-K (Not Subj. to ERISA) Due for FYE 6/30	APC: NT Form 11-K (Subj. to ERISA) Due for FYE 3/31, NT Form 11-K (Not Subj. to ERISA) Due for FYE 6/30				



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28	29	30				29	30					

OCTOBER 2020

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
				1	2	3
4	5	6	7	8	9	10
11	12 Columbus Day SEC Closed, US Markets Open	13 NAF: Late Form 10-K Due for FYE 6/30 APC: Late Form 11-K (Subj. to ERISA) Due for FYE 3/31, Late Form 11-K (Not Subj. to ERISA) Due for FYE 6/30, Form 13H-Q (9/30)	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31 Halloween



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25	26	27	28	29	30		27	28	29	30			

NOVEMBER 2020

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
1 Daylight Saving Time ends	2	3	4	5	6	7
	INT'L: Form 20-F for FYE 6/30	INT'L: NT 20-F Due for FYE 6/30				
8	9	10	11 Veterans Day	12	13	14
	LAF & AF: Form 10-Q Due (9/30)	LAF & AF: NT 10-Q Due (9/30)	SEC Closed, US Markets Open			
15	16	17	18	19	20	21
	LAF & AF: Late Form 10-Q Due (9/30) NAF: Form 10-Q Due (9/30)					
	APC: Form 5 Due for FYE 9/30.	NAF: NT 10-Q Due (9/30)				
	Form 13F Due for calendar quarter-end (9/30)	INT'L: Late Form 20-F for FYE 6/30				
22	23	24	25	26 Thanksgiving Day	27	28
	NAF: Late Form 10-Q Due (9/30)			SEC Closed, US Markets Closed		
29	30					
	LAF: Form 10-K Due for FYE 9/30					



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30	29	28	27	26	25	24/31						30	29

DECEMBER 2020

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
		1	2	3	4	5
		LAF: NT 10-K Due for FYE 9/30				
6	7 Pearl Harbor Remembrance Day	8	9	10 Hanukkah begins at sunset	11	12
13	14 AF: Form 10-K Due for FYE 9/30	15 LAF: Late Form 10-K Due for FYE 9/30 AF: NT 10-K Due for FYE 9/30	16	17	18 Hanukkah ends at nightfall	19
20	21 First Day of Winter	22	23	24 Christmas Eve	25 Christmas Day SEC Closed, US Markets Closed	26 First Day of Kwanzaa
27	28 APC: Form 11-K (Subj. to ERISA) Due for FYE 6/30	29 AF: Late Form 10-K Due FYE 9/30 NAF: Form 10-K Due for FYE 9/30 APC: NT Form 11-K (Subj. to ERISA) Due for FYE 6/30, Form 11-K (Not Subj. to ERISA) Due for FYE 9/30	30 NAF: NT 10-K Due for FYE 9/30 APC: NT Form 11-K (Not Subj. to ERISA) Due for FYE 9/30	31 New Year's Eve		



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					28				30	29	28	

JANUARY 2021

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
					1 Kwanzaa ends New Year's Day	2
					SEC Closed, Check Business News for US Market Closings	
3	4	5	6	7	8	9
10	11	12	13	14	15	16
		APC: Late Form 11-K (Subj. to ERISA) Due for FYE 6/30	NAF: Late Form 10-K Due for FYE 9/30 APC: Late Form 11-K (Not Subj. to ERISA) Due for FYE 9/30			
17	18	19	20 Martin Luther King, Jr. Day	21	22	23
			SEC Closed, US Markets Closed			
24	25	26	27	28	29	30
31						



NOTES

About Donnelley Financial Solutions (DFIN)

DFIN is a leading global risk and compliance company.

We're here to help you make smarter decisions with insightful technology, industry expertise and data insights at every stage of your business and investment lifecycles. As markets fluctuate, regulations evolve and technology advances, we're there. And through it all, we deliver confidence with the right solutions in moments that matter.

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