

#### CALENDAR

# 2020 SEC Filing Calendar

EDGAR filing deadlines, peak periods and holidays

**DFINsolutions**.com

#### **DFIN** 2020 SEC Filing Dates





|  | S.   | ۍ ا  | ്ഗ   | S.   |   | S.    | ້ທີ   | ്ഗ      | S.     |
|--|------|------|------|------|---|-------|-------|---------|--------|
| Form 10-K Due for FYE 12/31                              | 3/2  |      |      |      | Form 13F Due for calendar quarter-end (3/31)            |       |       |         | 5/15   |
| Late Form 10-K Due for FYE 12/31                         | 3/17 |      |      |      | Form 13H-Q (3/31)                                       |       |       |         | 4/10   |
| Form 10-Q Due (12/31)                                    | 2/10 | 2/10 |      |      | Form 10-K Due for FYE 6/30                              | 8/31  |       |         |        |
| Late Form 10-Q Due (12/31)                               | 2/18 | 2/18 |      |      | Late Form 10-K Due (6/30)                               | 9/15  |       |         |        |
| Form 10-K Due for FYE 12/31                              |      | 3/16 |      |      | Form 10-Q Due (6/30)                                    | 8/10  | 8/10  |         |        |
| Late Form 10-K Due for FYE 12/31                         |      | 3/31 |      |      | Late Form 10-Q Due (6/30)                               | 8/17  | 8/17  |         |        |
| Form 10-K Due for FYE 12/31                              |      |      | 3/30 |      | Form 10-K Due for FYE 6/30                              |       | 9/14  |         |        |
| Late Form 10-K Due for FYE 12/31                         |      |      | 4/14 |      | Late Form 10-K Due for FYE 6/30                         |       | 9/29  |         |        |
| Form 10-Q Due (12/31)                                    |      |      | 2/14 |      | Form 10-K Due for FYE 6/30                              |       |       | 9/28    |        |
| Late Form 10-Q Due (12/31)                               |      |      | 2/19 |      | Late Form 10-K Due for FYE 6/30                         |       |       | 10/13   |        |
| Form 11-K (Not Subj. to ERISA)<br>Due for FYE 12/31      |      |      |      | 3/30 | Form 10-Q Due (6/30)                                    |       |       | 8/14    |        |
| Late Form 11-K (Not Subj. to ERISA)<br>Due for FYE 12/31 |      |      |      | 4/14 | Late Form 10-Q Due (6/30)                               |       |       | 8/19    |        |
| Form 11-K (Subj. to ERISA)<br>Due for FYE 12/31          |      |      |      | 6/29 | Form 11-K (Not Subj. to ERISA)<br>Due for FYE 6/30      |       |       |         | 9/28   |
| Late Form 11-K (Subj. to ERISA)<br>Due for FYE 12/31     |      |      |      | 7/14 | Late Form 11-K (Not Subj. to ERISA)<br>Due for FYE 6/30 |       |       |         | 10/13  |
| Form 5 Due for FYE 12/31                                 |      |      |      | 2/14 | Form 11-K (Subj. to ERISA)<br>Due for FYE 6/30          |       |       |         | 12/2   |
| Form 20-F Due for FYE 12/31                              |      |      |      | 4/30 | Late Form 11-K (Subj. to ERISA)<br>Due for FYE 6/30     |       |       |         | 1/12/2 |
| Late Form 20-F Due for FYE 12/31                         |      |      |      | 5/15 | Form 5 Due for FYE 6/30                                 |       |       |         | 8/14   |
| Schedule 13G Due for calendar year 12/31                 |      |      |      | 2/14 | Form 20-F for FYE 6/30                                  |       |       |         | 11/2   |
| Form 13F for calendar year-end 12/31                     |      |      |      | 2/14 | Late Form 20-F for FYE 6/30                             |       |       |         | 11/1   |
| Form 13H-Q (12/31)                                       |      |      |      | 1/10 | Form 13F Due for calendar quarter-end (6/30)            |       |       |         | 8/14   |
| Form 13H-A (combo) (12/31)                               |      |      |      | 1/10 | Form 13H-Q (6/30)                                       |       |       |         | 7/10   |
| Form 13H-A (12/31)                                       |      |      |      | 2/14 | Form 10-K Due for FYE 9/30                              | 11/30 |       |         |        |
| Form SD for Conflict Minerals Issuers<br>(12/31)         |      |      |      | 6/1  | Late Form 10-K Due for FYE 9/30                         | 12/15 |       |         |        |
| Form 10-K Due for FYE 3/31                               | 6/1  |      |      |      | Form 10-Q Due (9/30)                                    | 11/9  | 11/9  |         |        |
| Late Form 10-K Due for FYE 3/31                          | 6/16 |      |      |      | Late Form 10-Q Due (9/30)                               | 11/16 | 11/16 |         |        |
| Form 10-Q Due (3/31)                                     | 5/11 | 5/11 |      |      | Form 10-K Due for FYE 9/30                              |       | 12/14 |         |        |
| Late Form 10-Q Due (3/31)                                | 5/18 | 5/18 |      |      | Late Form 10-K Due FYE 9/30                             |       | 12/29 |         |        |
| Form 10-K Due for FYE 3/31                               |      | 6/15 |      |      | Form 10-K Due for FYE 9/30                              |       |       | 12/29   |        |
| Late Form 10-K Due for FYE 3/31                          |      | 6/30 |      |      | Late Form 10-K Due for FYE 9/30                         |       |       | 1/13/21 |        |
| Form 10-K Due for FYE 3/31                               |      |      | 6/29 |      | Form 10-Q Due (9/30)                                    |       |       | 11/16   |        |
| Late Form 10-K Due for FYE 3/31                          |      |      | 7/14 |      | Late Form 10-Q Due (9/30)                               |       |       | 11/23   |        |
| Form 10-Q Due (3/31)                                     |      |      | 5/15 |      | Form 5 Due for FYE 9/30                                 |       |       |         | 11/16  |
| Late Form 10-Q Due (3/31)                                |      |      | 5/20 |      | Form 13F Due for calendar quarter-end (9/30)            |       |       |         | 11/16  |
| Form 5 Due for FYE 3/31                                  |      |      |      | 5/15 | Form 13H-Q (9/30)                                       |       |       |         | 10/1   |
|  |      |      |      |      |   |       |       |         |        |

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#### **2020 FEDERAL HOLIDAYS**

| New Year's Day              | January 1   | Independence Day | July 3      |
|-----------------------------|-------------|------------------|-------------|
| Martin Luther King, Jr. Day | January 20  | Labor Day        | September 7 |
| Presidents' Day/            | February 17 | Columbus Day     | October 12  |
| Washington's Birthday       |             | Veterans Day     | November 11 |
| Memorial Day                | May 25      | Thanksgiving Day | November 26 |
|                             |             | Christmas Day    | December 25 |

If a filing date falls on a weekend or federal holiday, the due date will fall on the next business day, pursuant to Securities Exchange Act of 1934 Rule 0-3(a).

#### **GENERAL FILING DEADLINES**

| <ul> <li>calendar days after fiscal year-end</li> <li>calendar days after fiscal quarter-end</li> <li>calendar days after fiscal year-end</li> <li>calendar days after fiscal quarter-end</li> <li>FOREIGN PRIVATE ISSUERS</li> <li>ithin four months of fiscal year-end</li> <li>ue for Canadian issuers on the same date the issuers' annual report i</li> </ul> |
|--|
| D calendar days after fiscal quarter-end<br>D calendar days after fiscal year-end<br>5 calendar days after fiscal quarter-end<br>FOREIGN PRIVATE ISSUERS<br>ithin four months of fiscal year-end<br>ue for Canadian issuers on the same date the issuers' annual report i  |
| D calendar days after fiscal quarter-end<br>D calendar days after fiscal year-end<br>5 calendar days after fiscal quarter-end<br>FOREIGN PRIVATE ISSUERS<br>ithin four months of fiscal year-end<br>ue for Canadian issuers on the same date the issuers' annual report i  |
| 5 calendar days after fiscal quarter-end<br>FOREIGN PRIVATE ISSUERS<br>ithin four months of fiscal year-end<br>ue for Canadian issuers on the same date the issuers' annual report i   |
| 5 calendar days after fiscal quarter-end<br>FOREIGN PRIVATE ISSUERS<br>ithin four months of fiscal year-end<br>ue for Canadian issuers on the same date the issuers' annual report i   |
| ithin four months of fiscal year-end<br>ue for Canadian issuers on the same date the issuers' annual report i  |
| ue for Canadian issuers on the same date the issuers' annual report i  |
|  |
| DDITIONAL EDGAR FILINGS DATES  |
| ) days after individual becomes a reporting person   |
| nd business day after a company insider trades registered securities the company   |
| 5 calendar days after issuer's fiscal year-end   |
| ) days after calendar quarter-end  |
| ombined Q4/Annual Report due 10 days after calendar year-end   |
| 5 days after calendar year-end   |
| ue annually on May 31  |
| Part III of your 10-K incorporates information from your proxy<br>atement, you are required to file the proxy statement no later than 12<br>ays after the fiscal year-end. If you are unable to file within the 120-da<br>mit for the proxy statement expressly indicated in your 10-K, file an<br>mended 10-K to include the Part III information. This amended 10-K<br>ust be filed no later than 120 days after the fiscal year-end.  |
| ) days after the acquisition of more than 5% beneficial ownership  |
| 5 days after calendar year-end and 45 days after each of the first thre<br>alendar quarters  |
| 5 days after calendar year-end   |
| ) days after fiscal year-end   |
| 30 days after fiscal year-end  |
| ue no later than one business day after the original filing deadline   |
| p to 15 calendar days of original filing deadline  |
| p to 5 calendar days of original filing deadline   |
|  |

Companies may request more time to file Forms 10-Q, 10-K, 11-K and 20-F by filing for an extension with Form 12b-25 via EDGAR. In filing this form, a filer may gain up to 5 additional days to file Form 10-Q or 15 additional days to file Forms 10-K, 11-K and 20-F. The Form 12b-25 should be filed by 5:30 p.m. Eastern Time the next business day after the original filing deadline. Note: Form 12b-25 cannot be used solely to request an extension to submit XBRI filings.

SEC HOURS OF OPERATION

The hours of operation for transmitting submissions to the SEC's EDGAR system are 6:00 a.m. to 10:00 p.m. Eastern Time, weekdays, excluding U.S. federal holidays. Filings submitted after 5:30 p.m. Eastern Time, with the exception of Form 13H, Section 16 filings, Correspondence and filings pursuant to Rule 462(b), will receive the next business day's filing date.

| 020 | <u> </u> | RUA | FFRI |    |    |    | 019 | ER 2 | MB | <b>JECI</b> | L |    |    |
|-----|----------|-----|------|----|----|----|-----|------|----|-------------|---|----|----|
| SA  |          |     |      |    |    |    |     |      |    |             |   |    |    |
|     |          |     |      |    |    |    |     |      |    |             |   |    |    |
|     |          |     |      |    |    |    |     |      |    |             |   |    |    |
| 15  |          |     |      |    |    |    |     |      |    |             |   |    |    |
| 22  |          |     |      |    |    |    |     |      |    |             |   |    |    |
| 29  | 28       | 27  | 26   | 25 | 24 | 23 |     |      |    |             |   | 30 | 29 |

### JANUARY 2020

| SUNDAY | MONDAY  | TUESDAY   | WEDNESDAY   | THURSDAY | FRIDAY  | SATURDAY |
|--------|---|---|---|----------|---|----------|
|        |   |   | 1 New Year's Day  | 2        | 3   | 4        |
|        |   |   |   |          |   |          |
|        |   |   |   |          |   |          |
|        |   |   | SEC Closed, Check Business<br>News for US Market Openings |          |   |          |
| 5      | 6   | 7   | 8   | 9        | 10  | 11       |
|        |   |   |   |          |   |          |
|        |   |   |   |          |   |          |
|        |   |   |   |          | APC: Form 13H-Q (12/31)<br>Form 13H-A (combo) (12/31) |          |
| 12     | 13  | 14  | 15  | 16       | 17  | 18       |
|        |   |   |   |          |   |          |
|        |   | NAF: Late Form 10-K Due for<br>FYE 9/30/19                      |   |          |   |          |
|        | APC: Late Form 11-K (Subj. to<br>ERISA) Due for FYE 6/30/19 | APC: Late Form 11-K (Not Subj.<br>to ERISA) Due for FYE 9/30/19 |   |          |   |          |
| 19     | 20 Martin Luther King, Jr. Day                              | 21  | 22  | 23       | 24  | 25       |
|        |   |   |   |          |   |          |
|        |   |   |   |          |   |          |
|        | SEC Closed, US Markets Closed                               |   |   |          |   |          |
| 26     | 27  | 28  | 29  | 30       | 31  |          |
|        |   |   |   |          |   |          |
|        |   |   |   |          |   |          |
|        |   |   |   |          | INT'L: Form 20-F Due for<br>FYE 9/30/19               |          |



| 020 | CH 20 | IARC | N |    | _  | 020 | RY 2 | IUAF | JAI |    |    |    |
|-----|-------|------|---|----|----|-----|------|------|-----|----|----|----|
| SA  |       |      |   |    |    |     |      |      |     |    |    |    |
|     |       |      |   |    |    |     |      |      |     |    |    |    |
| 14  |       |      |   |    |    |     |      |      |     |    |    |    |
| 21  |       |      |   |    |    |     |      |      |     |    |    |    |
| 28  |       |      |   |    |    |     |      |      |     |    |    |    |
|     |       |      |   | 30 | 29 |     |      |      |     | 28 | 27 | 28 |

### FEBRUARY 2020

| SUNDAY          | MONDAY                                       | TUESDAY                                      | WEDNESDAY                          | THURSDAY | FRIDAY  | SATURDAY |
|-----------------|--|--|------------------------------------|----------|---|----------|
|                 |  |  |                                    |          |   | 1        |
|                 |  |  |                                    |          |   |          |
|                 |  |  |                                    |          |   |          |
|                 |  |  |                                    |          |   |          |
| 2 Groundhog Day | 3  | 4  | 5                                  | 6        | 7   | 8        |
|                 |  |  |                                    |          |   |          |
|                 |  |  |                                    |          |   |          |
|                 | INT'L: NT 20-F Due for<br>FYE 9/30/19        |  |                                    |          |   |          |
| 9               | 10   | 11   | 12                                 | 13       | 14 Valentine's Day  | 15       |
|                 |  |  |                                    |          | NAF: Form 10-Q Due (12/31)  |          |
|                 |  |  |                                    |          | APC: Form 5 Due for FYE 12/31,<br>Schedule 13G Due for calendar<br>year 12/31, Form 13F for |          |
|                 | LAF & AF: Form 10-Q<br>Due (12/31)           | LAF & AF: NT 10-Q<br>Due (12/31)             |                                    |          | calendar year-end 12/31,<br>Form 13H-A (12/31)  |          |
| 16              | 17 Presidents' Day/<br>Washington's Birthday | 18   | 19                                 | 20       | 21  | 22       |
|                 |  | LAF & AF: Late Form 10-Q<br>Due (12/31)      |                                    |          |   |          |
|                 |  | NAF: NT 10-Q Due (12/31)                     |                                    |          |   |          |
|                 | SEC Closed, US Markets Closed                | INT'L: Late Form 20-F Due for<br>FYE 9/30/19 | NAF: Late Form 10-Q<br>Due (12/31) |          |   |          |
| 23              | 24   | 25   | 26 Ash Wednesday                   | 27       | 28  | 29       |
|                 |  |  |                                    |          |   |          |
|                 |  |  |                                    |          |   |          |
|                 |  |  |                                    |          |   |          |
|                 |  |  |                                    |          |   |          |



| 02 | IIL 2 | APR |    |    |    |    | 020 | <u> </u> | RUA | FFRI |    |    |    |
|----|-------|-----|----|----|----|----|-----|----------|-----|------|----|----|----|
| S/ |       |     |    |    |    |    |     |          |     |      |    |    | SU |
|    |       |     |    |    |    |    |     |          |     |      |    |    |    |
|    |       |     |    |    |    |    |     |          |     |      |    |    |    |
| 18 |       |     |    |    |    |    |     |          |     |      |    |    |    |
| 25 |       |     |    |    |    |    |     |          |     |      |    |    |    |
|    |       | 30  | 29 | 28 | 27 | 26 | 29  | 28       | 27  | 26   | 25 | 24 | 23 |

#### MARCH 2020

| SUNDAY                        | MONDAY   | TUESDAY  | WEDNESDAY | THURSDAY               | FRIDAY | SATURDAY |
|-------------------------------|--|--|-----------|------------------------|--------|----------|
| 1                             | 2  | 3  | 4         | 5                      | 6      | 7        |
|                               |  |  |           |                        |        |          |
|                               |  |  |           |                        |        |          |
|                               | LAF: Form 10-K Due for<br>FYE 12/31                    | LAF: NT 10-K Due for<br>FYE 12/31                            |           |                        |        |          |
|                               |  |  |           |                        |        |          |
| 8 Daylight Saving Time begins | 9  | 10   | 11        | 12                     | 13     | 14       |
|                               |  |  |           |                        |        |          |
|                               |  |  |           |                        |        |          |
|                               |  |  |           |                        |        |          |
| 15                            | 16   | 17 St. Patrick's Day   | 18        | 19 First Day of Spring | 20     | 21       |
|                               |  |  |           |                        |        |          |
|                               |  |  |           |                        |        |          |
|                               |  | LAF: Late Form 10-K Due for<br>FYE 12/31                     |           |                        |        |          |
|                               | AF: Form 10-K Due for<br>FYE 12/31                     | AF: NT 10-K Due for FYE 12/31                                |           |                        |        |          |
| 22                            | 23   | 24   | 25        | 26                     | 27     | 28       |
|                               |  |  |           |                        |        |          |
|                               |  |  |           |                        |        |          |
|                               |  |  |           |                        |        |          |
| 29                            | 30   | 21   |           |                        |        |          |
| 27                            | 20   | 31 AF: Late Form 10-K Due for FYE 12/31                      |           |                        |        |          |
|                               | NAF: Form 10-K Due for<br>FYE 12/31                    | NAF: NT 10-K Due for<br>FYE 12/31                            |           |                        |        |          |
|                               | APC: Form 11-K (Not Subj. to ERISA) Due for FYE 12/31. | APC: NT Form 11-K (Not Subj.<br>to FRISA) Due for FYF 12/31. |           |                        |        |          |
|                               | Form 11-K (Subj. to ERISA)<br>Due for FYE 9/30/19      | NT Form 11-K (Subj. to ERISA)<br>Due for FYE 9/30/19         |           |                        |        |          |



|    | N | IARC | CH 2 | 020 |       |    |    |    | M, | 4Y 2 | 020 |
|----|---|------|------|-----|-------|----|----|----|----|------|-----|
|    |   |      |      |     |       |    |    |    |    |      | SA  |
|    |   |      |      |     |       |    |    |    |    |      |     |
|    |   |      |      |     |       |    |    |    |    |      |     |
|    |   |      |      |     |       |    |    |    |    |      | 16  |
|    |   |      |      |     |       |    |    |    |    |      | 23  |
| 30 |   |      |      |     | 24/31 | 25 | 26 | 27 | 28 | 29   | 30  |

### APRIL 2020

| SUNDAY        | MONDAY | TUESDAY  | WEDNESDAY                      | THURSDAY                      | FRIDAY                      | SATURDAY |
|---------------|--------|--|--------------------------------|-------------------------------|-----------------------------|----------|
|               |        |  | 1 April Fools' Day             | 2                             | 3                           | 4        |
|               |        |  |                                |                               |                             |          |
|               |        |  |                                |                               |                             |          |
|               |        |  |                                |                               |                             |          |
| 5 Palm Sunday | 6      | 7  | 8 Passover begins at sunset    | 9                             | 10 Good Friday              | 11       |
|               |        |  |                                |                               |                             |          |
|               |        |  |                                |                               |                             |          |
|               |        |  |                                |                               | APC: Form 13H-Q (3/31)      |          |
|               |        |  |                                |                               | SEC Open, US Markets Closed |          |
| 12 Easter     | 13     | 14   | 15                             | 16 Passover ends at nightfall | 17                          | 18       |
|               |        | NAF: Late Form 10-K Due for FYE 12/31  |                                |                               |                             |          |
|               |        | APC: Late Form 11-K (Not Subj.<br>to ERISA) Due for FYE 12/31,<br>Late Form 11-K (Subj. to<br>ERISA) Due for FYE 9/30/19 |                                |                               |                             |          |
| 19            | 20     | 21   | 22 Earth Day<br>Administrative | 23 Ramadan begins at sunset   | 24                          | 25       |
|               |        |  | Professionals Day              |                               |                             |          |
|               |        |  |                                |                               |                             |          |
|               |        |  |                                |                               |                             |          |
| 26            | 27     | 28   | 29                             | 30                            |                             |          |
|               |        |  |                                |                               |                             |          |
|               |        |  |                                |                               |                             |          |
|               |        |  |                                | INT'L: Form 20-F Due for      |                             |          |
|               |        |  |                                | FYE 12/31                     |                             |          |



| 020 | IE 2 | JUI |  |  | 020 | IL 2 | APR |  |    |    |
|-----|------|-----|--|--|-----|------|-----|--|----|----|
| SA  |      |     |  |  |     |      |     |  |    |    |
|     |      |     |  |  |     |      |     |  |    |    |
| 13  |      |     |  |  |     |      |     |  |    |    |
|     |      |     |  |  |     |      |     |  |    |    |
| 27  |      |     |  |  |     |      |     |  |    |    |
|     |      |     |  |  |     |      |     |  | 27 | 26 |

#### MAY 2020

| SUNDAY          | MONDAY  | TUESDAY                               | WEDNESDAY                               | THURSDAY | FRIDAY  | SATURDAY            |
|-----------------|---|---------------------------------------|---|----------|---|---------------------|
|                 |   |                                       |   |          | 1<br>INT'L: NT 20-F Due for<br>FYE 12/31  | 2                   |
| 3               | 4   | 5 Cinco de Mayo                       | 6                                       | 7        | 8   | 9                   |
| 10 Mother's Day | 11<br>LAF & AF: Form 10-Q<br>Due (3/31)                                 | 12<br>LAF & AF: NT 10-Q<br>Due (3/31) | 13                                      | 14       | 15<br>NAF: Form 10-Q Due (3/31)<br>APC: Form 5 Due for FYE 3/31,<br>Form 13F Due for calendar<br>quarter-end (3/31)<br>INT'L: Late Form 20-F Due for<br>FYE 12/31 | 16 Armed Forces Day |
| 17              | 18<br>LAF & AF: Late Form 10-Q<br>Due (3/31)<br>NAF: NT 10-Q Due (3/31) | 19                                    | 20<br>NAF: Late Form 10-Q<br>Due (3/31) | 21       | 22  | 23 Ramadan ends     |
| 24              | 25 Memorial Day   | 26                                    | 27                                      | 28       | 29  | 30                  |
| 31              | SEC Closed US Markets Closed  |                                       |   |          |   |                     |
|                 | SEC Closed, US Markets Closed   |                                       |   |          |   |                     |



| 202 | LY 2 | JU |    |    |    |    | 020 | MAY 202 |    |    |    |    |       |  |  |  |
|-----|------|----|----|----|----|----|-----|---------|----|----|----|----|-------|--|--|--|
|     |      |    |    |    |    |    |     |         |    |    |    |    |       |  |  |  |
|     |      |    |    |    |    |    |     |         |    |    |    |    |       |  |  |  |
|     |      |    |    |    |    |    |     |         |    |    |    |    |       |  |  |  |
|     |      |    |    |    |    |    |     |         |    |    |    |    |       |  |  |  |
|     |      |    |    |    |    |    |     |         |    |    |    |    |       |  |  |  |
|     |      | 30 | 29 | 28 | 27 | 26 | 30  | 29      | 28 | 27 | 26 | 25 | 24/31 |  |  |  |

## JUNE 2020

| SUNDAY          | MONDAY  | TUESDAY   | WEDNESDAY | THURSDAY | FRIDAY | SATURDAY               |
|-----------------|---|---|-----------|----------|--------|------------------------|
|                 | 1<br>LAF: Form 10-K Due for   | 2   | 3         | 4        | 5      | 6                      |
|                 | LAF: Form 10-K Due for<br>FYE 3/31<br>APC: Form SD for Conflict<br>Minerals Issuers (12/31)   | LAF: NT 10-K Due for FYE 3/31   |           |          |        |                        |
| 7               | 8   | 9   | 10        | 11       | 12     | 13                     |
| 14 Flag Day     | 15<br>AF: Form 10-K Due for<br>FYE 3/31   | 16<br>LAF: Late Form 10-K Due for<br>FYE 3/31<br>AF: NT 10-K Due for FYE 3/31   | 17        | 18       | 19     | 20 First Day of Summer |
| 21 Father's Day | 22  | 23  | 24        | 25       | 26     | 27                     |
| 28              | 29<br>NAF: Form 10-K Due for<br>FYE 3/31<br>APC: Form 11-K (Subj. to<br>ERISA) Due for FYE 12/31,<br>Form 11-K (Not Subj. to ERISA)<br>Due for FYE 3/31 | 30 AF: Late Form 10-K Due<br>for FYE 3/31<br>NAF: NT 10-K Due for FYE 3/31<br>APC: NT Form 11-K (Subj. to<br>ERISA) Due for FYE 12/31,<br>NT Form 11-K (Not Subj. to<br>ERISA) Due for FYE 3/31 |           |          |        |                        |



| 020 | 51 2 | JGU | AU |    |       | _     | 020 | VE 2 | JUI |    |    |    |
|-----|------|-----|----|----|-------|-------|-----|------|-----|----|----|----|
| S/  |      |     |    |    |       |       |     |      |     |    |    | SU |
|     |      |     |    |    |       |       |     |      |     |    |    |    |
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|     |      |     |    |    |       |       |     |      |     |    |    |    |
|     |      |     |    |    |       |       |     |      |     |    |    |    |
| 29  | 28   | 27  | 26 | 25 | 24/31 | 23/30 |     |      |     | 30 | 29 | 28 |

# JULY 2020

| SUNDAY | MONDAY | TUESDAY  | WEDNESDAY | THURSDAY | FRIDAY                                     | SATURDAY |
|--------|--------|--|-----------|----------|--|----------|
|        |        |  | 1         | 2        | 3 Independence Day                         | 4        |
|        |        |  |           |          | SEC Closed, US Markets Closed              |          |
| 5      | 6      | 7  | 8         | 9        | 10   | 11       |
|        |        |  |           |          | APC: Form 13H-Q (6/30)                     |          |
| 12     | 13     | 14<br>NAF: Late Form 10-K Due for<br>FYE 3/31<br>APC: Late Form 11-K (Subj. to<br>ERISA) Due for FYE 12/31,<br>Late Form 11-K (Not Subj. to<br>ERISA) Due for FYE 3/31 | 15        | 16       | 17   | 18       |
| 19     | 20     | 21   | 22        | 23       | 24   | 25       |
| 26     | 27     | 28   | 29        | 30       | 31<br>INT'L: Form 20-F Due for<br>FYE 3/31 |          |



| 02 | ER 2 | MB | ENIE | SI |    |    | 020 | LY 2 | JU |    |    |    |    |
|----|------|----|------|----|----|----|-----|------|----|----|----|----|----|
| S  |      |    |      |    |    |    |     |      |    |    |    |    |    |
|    |      |    |      |    |    |    |     |      |    |    |    |    |    |
|    |      |    |      |    |    |    |     |      |    |    |    |    |    |
|    |      |    |      |    |    |    |     |      |    |    |    |    |    |
| 2  |      |    |      |    |    |    |     |      |    |    |    |    |    |
|    |      |    | 30   | 29 | 28 | 27 |     |      | 30 | 29 | 28 | 27 | 26 |

### AUGUST 2020

| SUNDAY | MONDAY   | TUESDAY                               | WEDNESDAY                         | THURSDAY | FRIDAY   | SATURDAY |
|--------|--|---------------------------------------|-----------------------------------|----------|--|----------|
|        |  |                                       |                                   |          |  | 1        |
| 2      | 3  | 4                                     | 5                                 | 6        | 7  | 8        |
| 9      | INT'L: NT 20-F Due for<br>FYE 3/31   | 11                                    | 12                                | 13       | 14<br>NAF: Form 10-Q Due (6/30)  | 15       |
| 16     | LAF & AF: Form 10-Q<br>Due (6/30)<br>17  | LAF & AF: NT 10-Q<br>Due (6/30)<br>18 | 19                                | 20       | APC: Form 5 Due for FYE 6/30,<br>Form 13F Due for calendar<br>quarter-end (6/30) | 22       |
|        | LAF & AF: Late Form 10-Q<br>Due (6/30)<br>NAF: NT 10-Q Due (6/30)<br>INT'L: Late Form 20-F for<br>FYE 3/31 |                                       | NAF: Late Form 10-Q<br>Due (6/30) |          |  |          |
| 23     | 24   | 25                                    | 26                                | 27       | 28   | 29       |
| 30     | 31<br>LAF: Form 10-K Due for<br>FYE 6/30   |                                       |                                   |          |  |          |



|       |       |    | A  | JGU | ST 2 | 020 |    |    | 00 | гові | -R 2 | 02 |
|-------|-------|----|----|-----|------|-----|----|----|----|------|------|----|
|       |       |    |    |     |      |     |    |    |    |      |      | S  |
|       |       |    |    |     |      |     |    |    |    |      |      |    |
|       |       |    |    |     |      |     |    |    |    |      |      | 10 |
|       |       |    |    |     |      |     |    |    |    |      |      |    |
|       |       |    |    |     |      |     |    |    |    |      |      |    |
| 23/30 | 24/31 | 25 | 26 | 27  | 28   | 29  | 25 | 26 | 28 | 29   | 30   |    |

#### SEPTEMBER 2020

| SUNDAY                                | MONDAY   | TUESDAY  | WEDNESDAY | THURSDAY | FRIDAY                               | SATURDAY |
|---------------------------------------|--|--|-----------|----------|--------------------------------------|----------|
|                                       |  | 1  | 2         | 3        | 4                                    | 5        |
|                                       |  | LAF: NT 10-K Due for FYE 6/30  |           |          |                                      |          |
| 6                                     | 7 Labor Day  | 8  | 9         | 10       | 11 Patriot Day                       | 12       |
|                                       | SEC Closed, US Markets Closed  |  |           |          |                                      |          |
| 13                                    | 14   | 15   | 16        | 17       | 18 Rosh Hashanah begins<br>at sunset | 19       |
|                                       | AF: Form 10-K Due for<br>FYE 6/30  | LAF: Late Form 10-K<br>Due (6/30)<br>AF: NT 10-K Due for FYE 6/30  |           |          |                                      |          |
| 20 Rosh Hashanah ends<br>at nightfall | 21   | 22 First Day of Autumn   | 23        | 24       | 25                                   | 26       |
|                                       |  |  |           |          |                                      |          |
| 27 Yom Kippur begins<br>at sunset     | 28 Yom Kippur ends<br>at nightfall<br>NAF: Form 10-K Due for<br>FYE 6/30                                   | 29 AF: Late Form 10-K Due<br>for FYE 6/30<br>NAF: NT 10-K Due for FYE 6/30                                       | 30        |          |                                      |          |
|                                       | APC: Form 11-K (Subj. to<br>ERISA) Due for FYE 3/31,<br>Form 11-K (Not Subj. to ERISA)<br>Due for FYE 6/30 | APC: NT Form 11-K (Subj. to<br>ERISA) Due for FYE 3/31,<br>NT Form 11-K (Not Subj. to<br>ERISA) Due for FYE 6/30 |           |          |                                      |          |



|    | S  | EPTE | MBI | =R 2 | 020 | NOVEMBER 2020 |    |  |  |  |  |  |
|----|----|------|-----|------|-----|---------------|----|--|--|--|--|--|
|    |    |      |     |      |     |               |    |  |  |  |  |  |
|    |    |      |     |      |     |               |    |  |  |  |  |  |
|    |    |      |     |      |     |               |    |  |  |  |  |  |
|    |    |      |     |      |     |               |    |  |  |  |  |  |
|    |    |      |     |      |     |               |    |  |  |  |  |  |
| 28 | 29 | 30   |     |      |     | 29            | 30 |  |  |  |  |  |

### OCTOBER 2020

| SUNDAY | MONDAY   | TUESDAY   | WEDNESDAY | THURSDAY | FRIDAY | SATURDAY     |
|--------|--|---|-----------|----------|--------|--------------|
|        |  |   |           | 1        | 2      | 3            |
| 4      | 5  | 6   | 7         | 8        | 9      | 10           |
| 11     | 12 Columbus Day<br>SEC Closed, US Markets Open | 13<br>NAF: Late Form 10-K Due for<br>FYE 6/30<br>APC: Late Form 11-K (Subj. to<br>ERISA) Due for FYE 3/31,<br>Late Form 11-K (Not Subj. to<br>ERISA) Due for FYE 6/30,<br>Form 13H-Q (9/30) | 14        | 15       | 16     | 17           |
| 18     | 19   | 20  | 21        | 22       | 23     | 24           |
| 25     | 26   | 27  | 28        | 29       | 30     | 31 Halloween |



|    |    |    | 00 | тові | =R 2 | 020 |    |    | L  | DECE | МВ | -R 2 | 02 |
|----|----|----|----|------|------|-----|----|----|----|------|----|------|----|
|    |    |    |    |      |      |     |    |    |    |      |    |      |    |
|    |    |    |    |      |      |     |    |    |    |      |    |      |    |
|    |    |    |    |      |      |     |    |    |    |      |    |      |    |
|    |    |    |    |      |      |     |    |    |    |      |    |      |    |
|    |    |    |    |      |      |     |    |    |    |      |    |      |    |
| 25 | 26 | 27 | 28 | 29   | 30   |     | 27 | 28 | 29 | 30   |    |      |    |

### NOVEMBER 2020

| SUNDAY                      | MONDAY  | TUESDAY                               | WEDNESDAY                   | THURSDAY                      | FRIDAY | SATURDAY |
|-----------------------------|---|---------------------------------------|-----------------------------|-------------------------------|--------|----------|
| 1 Daylight Saving Time ends | 2   | 3                                     | 4                           | 5                             | 6      | 7        |
|                             |   |                                       |                             |                               |        |          |
|                             |   |                                       |                             |                               |        |          |
|                             | INT'L: Form 20-F for FYE 6/30                                       | INT'L: NT 20-F Due for<br>FYE 6/30    |                             |                               |        |          |
| 8                           | 9   | 10                                    | 11 Veterans Day             | 12                            | 13     | 14       |
|                             |   |                                       |                             |                               |        |          |
|                             |   |                                       |                             |                               |        |          |
|                             | LAF & AF: Form 10-Q<br>Due (9/30)                                   | LAF & AF: NT 10-Q<br>Due (9/30)       | SEC Closed, US Markets Open |                               |        |          |
| 15                          | 16  | 17                                    | 18                          | 19                            | 20     | 21       |
|                             | LAF & AF: Late Form 10-Q<br>Due (9/30)<br>NAF: Form 10-Q Due (9/30) |                                       |                             |                               |        |          |
|                             | APC: Form 5 Due for FYE 9/30.                                       | NAF: NT 10-Q Due (9/30)               |                             |                               |        |          |
|                             | Form 13F Due for calendar<br>quarter-end (9/30)                     | INT'L: Late Form 20-F for<br>FYE 6/30 |                             |                               |        |          |
| 22                          | 23  | 24                                    | 25                          | 26 Thanksgiving Day           | 27     | 28       |
|                             |   |                                       |                             |                               |        |          |
|                             |   |                                       |                             |                               |        |          |
|                             | NAF: Late Form 10-Q<br>Due (9/30)                                   |                                       |                             | SEC Closed, US Markets Closed |        |          |
| 29                          | 30  |                                       |                             |                               |        |          |
|                             |   |                                       |                             |                               |        |          |
|                             |   |                                       |                             |                               |        |          |
|                             | LAF: Form 10-K Due for<br>FYE 9/30                                  |                                       |                             |                               |        |          |



| 202 | JANUARY 2 |    |    |    |    | 020   | NOVEMBER 2020 |  |  |  |  |    |    |
|-----|-----------|----|----|----|----|-------|---------------|--|--|--|--|----|----|
| SA  |           |    |    |    |    |       |               |  |  |  |  |    |    |
|     |           |    |    |    |    |       |               |  |  |  |  |    |    |
|     |           |    |    |    |    |       |               |  |  |  |  |    |    |
| 16  |           |    |    |    |    |       |               |  |  |  |  |    |    |
| 23  |           |    |    |    |    |       |               |  |  |  |  |    |    |
| 30  | 29        | 28 | 27 | 26 | 25 | 24/31 |               |  |  |  |  | 30 | 29 |

### DECEMBER 2020

| SUNDAY | MONDAY  | TUESDAY   | WEDNESDAY   | THURSDAY                     | FRIDAY  | SATURDAY                |
|--------|---|---|---|------------------------------|---|-------------------------|
|        |   | 1   | 2   | 3                            | 4   | 5                       |
|        |   | LAF: NT 10-K Due for FYE 9/30   |   |                              |   |                         |
| 6      | 7 Pearl Harbor<br>Remembrance Day                         | 8   | 9   | 10 Hanukkah begins at sunset | 11  | 12                      |
| 13     | 14<br>AF: Form 10-K Due for<br>FYE 9/30                   | 15<br>LAF: Late Form 10-K Due for<br>FYE 9/30<br>AF: NT 10-K Due for FYE 9/30   | 16  | 17                           | 18 Hanukkah ends at nightfall                     | 19                      |
| 20     | 21 First Day of Winter                                    | 22  | 23  | 24 Christmas Eve             | 25 Christmas Day<br>SEC Closed, US Markets Closed | 26 First Day of Kwanzaa |
| 27     | 28<br>APC: Form 11-K (Subj. to<br>ERISA) Due for FYE 6/30 | 29<br>AF: Late Form 10-K Due<br>FYE 9/30<br>NAF: Form 10-K Due for<br>FYE 9/30<br>APC: NT Form 11-K (Subj. to<br>ERISA) Due for FYE 6/30,<br>Form 11-K (Not Subj. to ERISA)<br>Due for FYE 9/30 | 30<br>NAF: NT 10-K Due for FYE 9/30<br>APC: NT Form 11-K (Not Subj.<br>to ERISA) Due for FYE 9/30 | 31 New Year's Eve            |   |                         |



| 02 | RY 2 | RUA | FFR |  |    | 020 | -R 2 | MBE | )FCF | L  |    |  |
|----|------|-----|-----|--|----|-----|------|-----|------|----|----|--|
| SA |      |     |     |  |    |     |      |     |      |    |    |  |
|    |      |     |     |  |    |     |      |     |      |    |    |  |
|    |      |     |     |  |    |     |      |     |      |    |    |  |
|    |      |     |     |  |    |     |      |     |      |    |    |  |
|    |      |     |     |  |    |     |      |     |      |    |    |  |
|    |      |     |     |  | 28 |     |      |     | 30   | 29 | 28 |  |

### JANUARY 2021

| SUNDAY | MONDAY | TUESDAY  | WEDNESDAY   | THURSDAY | FRIDAY  | SATURDAY |
|--------|--------|--|---|----------|---|----------|
|        |        |  |   |          | 1 Kwanzaa ends<br>New Year's Day                          | 2        |
|        |        |  |   |          | SEC Closed, Check Business<br>News for US Market Closings |          |
| 3      | 4      | 5  | 6   | 7        | 8   | 9        |
|        |        |  |   |          |   |          |
| 10     | 11     | 12   | 13  | 14       | 15  | 16       |
|        |        | APC: Late Form 11-K (Subj. to<br>ERISA) Due for FYE 6/30 | NAF: Late Form 10-K Due for<br>FYE 9/30<br>APC: Late Form 11-K (Not Subj.<br>to ERISA) Due for FYE 9/30 |          |   |          |
| 17     | 18     | 19   | 20 Martin Luther King, Jr. Day  | 21       | 22  | 23       |
|        |        |  | SEC Closed, US Markets Closed   |          |   |          |
| 24     | 25     | 26   | 27  | 28       | 29  | 30       |
| 31     |        |  |   |          |   |          |



| NOTES |
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