



# 2020 SEC Filing Dates

	Large Accelerated Filers	LAF	Accelerated Filers	AF	Non-Accelerated Filers	NAF	APC/INTL - All Public Companies or INTL Filers		Large Accelerated Filers	LAF	Accelerated Filers	AF	Non-Accelerated Filers	NAF	APC/INTL - All Public Companies or INTL Filers
Form 10-K Due for FYE 12/31			3/2						Form 13F Due for calendar quarter-end (3/31)						5/15
Late Form 10-K Due for FYE 12/31			3/17						Form 13H-Q (3/31)						4/10
Form 10-Q Due (12/31)			2/10	2/10					Form 10-K Due for FYE 6/30			8/31			
Late Form 10-Q Due (12/31)			2/18	2/18					Late Form 10-K Due (6/30)			9/15			
Form 10-K Due for FYE 12/31					3/16				Form 10-Q Due (6/30)			8/10	8/10		
Late Form 10-K Due for FYE 12/31					3/31				Late Form 10-Q Due (6/30)			8/17	8/17		
Form 10-K Due for FYE 12/31						3/30			Form 10-K Due for FYE 6/30				9/14		
Late Form 10-K Due for FYE 12/31						4/14			Late Form 10-K Due for FYE 6/30				9/29		
Form 10-Q Due (12/31)						2/14			Form 10-K Due for FYE 6/30						9/28
Late Form 10-Q Due (12/31)						2/19			Late Form 10-K Due for FYE 6/30						10/13
Form 11-K (Not Subj. to ERISA) Due for FYE 12/31							3/30		Form 10-Q Due (6/30)						8/14
Late Form 11-K (Not Subj. to ERISA) Due for FYE 12/31							4/14		Late Form 10-Q Due (6/30)						8/19
Form 11-K (Subj. to ERISA) Due for FYE 12/31							6/29		Form 11-K (Not Subj. to ERISA) Due for FYE 6/30						9/28
Late Form 11-K (Subj. to ERISA) Due for FYE 12/31							7/14		Late Form 11-K (Not Subj. to ERISA) Due for FYE 6/30						10/13
Form 5 Due for FYE 12/31							2/14		Form 11-K (Subj. to ERISA) Due for FYE 6/30						12/28
Form 20-F Due for FYE 12/31							4/30		Late Form 11-K (Subj. to ERISA) Due for FYE 6/30						1/12/21
Late Form 20-F Due for FYE 12/31							5/15		Form 5 Due for FYE 6/30						8/14
Schedule 13G Due for calendar year 12/31							2/14		Form 20-F for FYE 6/30						11/2
Form 13F for calendar year-end 12/31							2/14		Late Form 20-F for FYE 6/30						11/17
Form 13H-Q (12/31)							1/10		Form 13F Due for calendar quarter-end (6/30)						8/14
Form 13H-A (combo) (12/31)							1/10		Form 13H-Q (6/30)						7/10
Form 13H-A (12/31)							2/14		Form 10-K Due for FYE 9/30			11/30			
Form SD for Conflict Minerals Issuers (12/31)							6/1		Late Form 10-K Due for FYE 9/30			12/15			
Form 10-K Due for FYE 3/31			6/1						Form 10-Q Due (9/30)			11/9	11/9		
Late Form 10-K Due for FYE 3/31			6/16						Late Form 10-Q Due (9/30)			11/16	11/16		
Form 10-Q Due (3/31)			5/11	5/11					Form 10-K Due for FYE 9/30				12/14		
Late Form 10-Q Due (3/31)			5/18	5/18					Late Form 10-K Due FYE 9/30				12/29		
Form 10-K Due for FYE 3/31					6/15				Form 10-K Due for FYE 9/30					12/29	
Late Form 10-K Due for FYE 3/31					6/30				Form 10-K Due for FYE 9/30					1/13/21	
Form 10-K Due for FYE 3/31						6/29			Form 10-Q Due (9/30)					11/16	
Late Form 10-K Due for FYE 3/31						7/14			Late Form 10-Q Due (9/30)					11/23	
Form 10-Q Due (3/31)						5/15			Form 5 Due for FYE 9/30						11/16
Late Form 10-Q Due (3/31)						5/20			Form 13F Due for calendar quarter-end (9/30)						11/16
Form 5 Due for FYE 3/31						5/15			Form 13H-Q (9/30)						10/13

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## 2020 FEDERAL HOLIDAYS

New Year's Day	January 1	Independence Day	July 3
Martin Luther King, Jr. Day	January 20	Labor Day	September 7
Presidents' Day/ Washington's Birthday	February 17	Columbus Day	October 12
		Veterans Day	November 11
Memorial Day	May 25	Thanksgiving Day	November 26
		Christmas Day	December 25

If a filing date falls on a weekend or federal holiday, the due date will fall on the next business day, pursuant to Securities Exchange Act of 1934 Rule 0-3(a).

## GENERAL FILING DEADLINES

### LAF - LARGE ACCELERATED FILERS

Form 10-K	60 calendar days after fiscal year-end
Form 10-Q	40 calendar days after fiscal quarter-end

### AF - ACCELERATED FILERS

Form 10-K	75 calendar days after fiscal year-end
Form 10-Q	40 calendar days after fiscal quarter-end

### NAF - NON-ACCELERATED FILERS

Form 10-K	90 calendar days after fiscal year-end
Form 10-Q	45 calendar days after fiscal quarter-end

### INT'L - INTERNATIONAL FILERS - FOREIGN PRIVATE ISSUERS

Form 20-F	Within four months of fiscal year-end
Form 40-F	Due for Canadian issuers on the same date the issuers' annual report is due to file in Canada

### APC - ALL PUBLIC COMPANIES - ADDITIONAL EDGAR FILINGS DATES

Form 3	10 days after individual becomes a reporting person
Form 4	2nd business day after a company insider trades registered securities in the company
Form 5	45 calendar days after issuer's fiscal year-end
Form 13H-Q	10 days after calendar quarter-end
Form 13H-A (combo)	Combined Q4/Annual Report due 10 days after calendar year-end
Form 13H-A	45 days after calendar year-end
Form SD for Conflict Minerals Issuers	Due annually on May 31
Notice & Proxy (DEF 14A)	If Part III of your 10-K incorporates information from your proxy statement, you are required to file the proxy statement no later than 120 days after the fiscal year-end. If you are unable to file within the 120-day limit for the proxy statement expressly indicated in your 10-K, file an amended 10-K to include the Part III information. This amended 10-K must be filed no later than 120 days after the fiscal year-end.
Schedule 13D	10 days after the acquisition of more than 5% beneficial ownership
Form 13F	45 days after calendar year-end and 45 days after each of the first three calendar quarters
Schedule 13G	45 days after calendar year-end
Form 11-K (not subject to ERISA)	90 days after fiscal year-end
Form 11-K (subject to ERISA)	180 days after fiscal year-end
NT Filings (Form 12b-25)	Due no later than one business day after the original filing deadline
Late 10-K, Late 11-K, Late 20-F	Up to 15 calendar days of original filing deadline
Late 10-Q	Up to 5 calendar days of original filing deadline

### FILING EXTENSIONS

Companies may request more time to file Forms 10-Q, 10-K, 11-K and 20-F by filing for an extension with Form 12b-25 via EDGAR. In filing this form, a filer may gain up to 5 additional days to file Form 10-Q or 15 additional days to file Forms 10-K, 11-K and 20-F. The Form 12b-25 should be filed by 5:30 p.m. Eastern Time the next business day after the original filing deadline. Note: Form 12b-25 cannot be used solely to request an extension to submit XBRL filings.

### SEC HOURS OF OPERATION

The hours of operation for transmitting submissions to the SEC's EDGAR system are 6:00 a.m. to 10:00 p.m. Eastern Time, weekdays, excluding U.S. federal holidays. Filings submitted after 5:30 p.m. Eastern Time, with the exception of Form 13H, Section 16 filings, Correspondence and filings pursuant to Rule 462(b), will receive the next business day's filing date.