



CALENDAR

# 2021 SEC Filing Calendar

EDGAR filing deadlines, peak periods and holidays



# 2021 SEC Filing Dates

	LAF - LARGE ACCELERATED FILERS			AF - ACCELERATED FILERS			NAF - NON-ACCELERATED FILERS			APC/INT'L - All Public Companies or INT'L Filers			
	LAF	AF	NAF	APC/INT'L	LAF	AF	NAF	APC/INT'L	LAF	AF	NAF	APC/INT'L	
Form 10-K Due for FYE 12/31		3/1							Form 13F Due for calendar quarter-end 3/31				5/17
Late Form 10-K Due for FYE 12/31		3/16							Form 13H-Q (3/31)				4/12
Form 10-Q Due (12/31)		2/9	2/9						Form 10-K Due for FYE 6/30		8/30		
Late Form 10-Q Due (12/31)		2/16	2/16						Late Form 10-K Due (6/30)		9/14		
Form 10-K Due for FYE 12/31			3/16						Form 10-Q Due (6/30)		8/9	8/9	
Late Form 10-K Due for FYE 12/31			3/31						Late Form 10-Q Due (6/30)		8/16	8/16	
Form 10-K Due for FYE 12/31				3/31					Form 10-K Due for FYE 6/30			9/13	
Late Form 10-K Due for FYE 12/31				4/15					Late Form 10-K Due for FYE 6/30			9/28	
Form 10-Q Due (12/31)				2/16					Form 10-K Due for FYE 6/30				9/28
Late Form 10-Q Due (12/31)				2/22					Late Form 10-K Due for FYE 6/30				10/13
Form 11-K (Not Subj. to ERISA) Due for FYE 12/31					3/31				Form 10-Q Due (6/30)				8/16
Late Form 11-K (Not Subj. to ERISA) Due for FYE 12/31					4/15				Late Form 10-Q Due (6/30)				8/23
Form 11-K (Subj. to ERISA) Due for FYE 12/31					6/29				Form 11-K (Not Subj. to ERISA) Due for FYE 6/30				9/28
Late Form 11-K (Subj. to ERISA) Due for FYE 12/31					7/14				Late Form 11-K (Not Subj. to ERISA) Due for FYE 6/30				10/13
Form 5 Due for FYE 12/31					2/16				Form 11-K (Subj. to ERISA) Due for FYE 6/30				12/27
Form 20-F Due for FYE 12/31					4/30				Late Form 11-K (Subj. to ERISA) Due for FYE 6/30				1/11/22
Late Form 20-F Due for FYE 12/31					5/17				Form 5 Due for FYE 6/30				8/16
Schedule 13G Due for calendar year 12/31					2/16				Form 20-F for FYE 6/30				11/1
Form 13F for calendar year-end 12/31					2/16				Late Form 20-F for FYE 6/30				11/16
Form 13H-Q (12/31)					1/11				Form 13F Due for calendar quarter-end 6/30				8/16
Form 13H-A (combo) (12/31)					1/11				Form 13H-Q (6/30)				7/12
Form 13H-A (12/31)					2/16				Form 10-K Due for FYE 9/30		11/29		
Form SD for Conflict Minerals Issuers (12/31)					6/1				Late Form 10-K Due for FYE 9/30		12/14		
Form 10-K Due for FYE 3/31		6/1							Form 10-Q Due (9/30)		11/9	11/9	
Late Form 10-K Due for FYE 3/31		6/16							Late Form 10-Q Due (9/30)		11/15	11/15	
Form 10-Q Due (3/31)		5/10	5/10						Form 10-K Due for FYE 9/30			12/14	
Late Form 10-Q Due (3/31)		5/17	5/17						Late Form 10-K Due FYE 9/30			12/29	
Form 10-K Due for FYE 3/31			6/14						Form 10-K Due for FYE 9/30				12/29
Late Form 10-K Due for FYE 3/31			6/29						Late Form 10-K Due for FYE 9/30				1/13/22
Form 10-K Due for FYE 3/31				6/29					Form 10-Q Due (9/30)				11/15
Late Form 10-K Due for FYE 3/31				7/14					Late Form 10-Q Due (9/30)				11/22
Form 10-Q Due (3/31)				5/17					Form 5 Due for FYE 9/30				11/15
Late Form 10-Q Due (3/31)				5/24					Schedule 13F Due for calendar quarter-end 9/30				11/15
Form 5 Due for FYE 3/31				5/17					Form 13H-Q (9/30)				10/12

This information was not prepared by persons licensed to practice legal or professional investment advice in any jurisdiction.

We are not engaged in rendering legal or other professional advice, and this information is not a substitute for the advice of an attorney or professional investment adviser. If you require legal or other expert advice, you should seek the services of an attorney or other professional.

## 2021-2022 FEDERAL HOLIDAYS

New Year's Day	<b>January 1</b>	Columbus Day	<b>October 11</b>
Martin Luther King, Jr. Day	<b>January 18</b>	Veterans Day	<b>November 11</b>
Presidents' Day/ Washington's Birthday	<b>February 15</b>	Thanksgiving Day	<b>November 25</b>
		Christmas Day	<b>Dec. 24-25</b>
Memorial Day	<b>May 31</b>	New Year's Day	<b>Jan. 1, 2022</b>
Independence Day	<b>July 4-5</b>	Martin Luther King, Jr. Day	<b>Jan. 17, 2022</b>
Labor Day	<b>September 6</b>		

If a filing date falls on a weekend or federal holiday, the due date will fall on the next business day, pursuant to Securities Exchange Act of 1934 Rule 0-3(a).

## GENERAL FILING DEADLINES

### LAF - LARGE ACCELERATED FILERS

Form 10-K	60 calendar days after fiscal year-end
Form 10-Q	40 calendar days after fiscal quarter-end

### AF - ACCELERATED FILERS

Form 10-K	75 calendar days after fiscal year-end
Form 10-Q	40 calendar days after fiscal quarter-end

### NAF - NON-ACCELERATED FILERS

Form 10-K	90 calendar days after fiscal year-end
Form 10-Q	45 calendar days after fiscal quarter-end

### INT'L - INTERNATIONAL FILERS - FOREIGN PRIVATE ISSUERS

Form 20-F	Within four months of fiscal year-end
Form 40-F	Due for Canadian issuers on the same date the issuers' annual report is due to file in Canada

### APC - ALL PUBLIC COMPANIES - ADDITIONAL EDGAR FILINGS DATES

Form 3	10 days after individual becomes a reporting person
Form 4	2nd business day after a company insider trades registered securities in the company
Form 5	45 calendar days after issuer's fiscal year-end
Form 13H-Q	10 days after calendar quarter-end
Form 13H-A (combo)	Combined Q4/Annual Report due 10 days after calendar year-end
Form 13H-A	45 days after calendar year-end
Form SD for Conflict Minerals Issuers	Due annually on May 31
Notice & Proxy (DEF 14A)	If Part III of your 10-K incorporates information from your proxy statement, you are required to file the proxy statement no later than 120 days after the fiscal year-end. If you are unable to file within the 120-day limit for the proxy statement expressly indicated in your 10-K, file an amended 10-K to include the Part III information. This amended 10-K must be filed no later than 120 days after the fiscal year-end.
Schedule 13D	10 days after the acquisition of more than 5% beneficial ownership
Form 13F	45 days after calendar year-end and 45 days after each of the first three calendar quarters
Schedule 13G	45 days after calendar year-end
Form 11-K (not subject to ERISA)	90 days after fiscal year-end
Form 11-K (subject to ERISA)	180 days after fiscal year-end
NT Filings (Form 12b-25)	Due no later than one business day after the original filing deadline
Late 10-K, Late 11-K, Late 20-F	Up to 15 calendar days of original filing deadline
Late 10-Q	Up to 5 calendar days of original filing deadline

### FILING EXTENSIONS

Companies may request more time to file Forms 10-Q, 10-K, 11-K and 20-F by filing for an extension with Form 12b-25 via EDGAR. In filing this form, a filer may gain up to 5 additional days to file Form 10-Q or 15 additional days to file Forms 10-K, 11-K and 20-F. The Form 12b-25 should be filed by 5:30 p.m. Eastern Time the next business day after the original filing deadline. Note: Form 12b-25 cannot be used solely to request an extension to submit XBRL filings.

### SEC HOURS OF OPERATION

The hours of operation for transmitting submissions to the SEC's EDGAR system are 6:00 a.m. to 10:00 p.m. Eastern Time, weekdays, excluding U.S. federal holidays. Filings submitted after 5:30 p.m. Eastern Time, with the exception of Form 13H, Section 16 filings, Correspondence and filings pursuant to Rule 462(b), will receive the next business day's filing date.

DECEMBER 2020						FEBRUARY 2021										
SU	M	T	W	TH	F	SA	SU	M	T	W	TH	F	SA			
			1	2	3	4	5				1	2	3	4	5	6
6	7	8	9	10	11	12	7	8	9	10	11	12	13			
13	14	15	16	17	18	19	14	15	16	17	18	19	20			
20	21	22	23	24	25	26	21	22	23	24	25	26	27			
27	28	29	30	31			28									

# JANUARY 2021

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
					1 New Year's Day	2
					SEC Closed, Check Business News for US Market Openings	
3	4	5	6	7	8	9
10	11	12	13	14	15	16
	APC: Form 13H-Q (12/31) Form 13H-A (combo) (12/31)	APC: Late Form 11-K (Subj. to ERISA) Due for FYE 6/30/20	NAF: Late Form 10-K Due for FYE 9/30/20 APC: Late Form 11-K (Not Subj. to ERISA) Due for FYE 9/30/20			
17	18 Martin Luther King, Jr. Day  SEC Closed, US Markets Closed	19	20	21	22	23
24	25	26	27	28	29	30
31						

JANUARY 2021						MARCH 2021						
SU	M	T	W	TH	SA	SU	M	T	W	TH	F	SA
					1	1	2	3	4	5	6	
3	4	5	6	7	8	7	8	9	10	11	12	13
10	11	12	13	14	15	14	15	16	17	18	19	20
17	18	19	20	21	22	21	22	23	24	25	26	27
24	25	26	27	28	29	28	29	30	31			

# FEBRUARY 2021

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
	1  <b>INT'L: Form 20-F Due for FYE 9/30/20</b>	2 Groundhog Day  <b>INT'L: NT 20-F Due for FYE 9/30/20</b>	3	4	5	6
7	8	9  <b>LAF &amp; AF: Form 10-Q Due (12/31)</b>	10  <b>LAF &amp; AF: NT 10-Q Due</b>	11	12	13
14 Valentine's Day	15 Presidents' Day/ Washington's Birthday  SEC Closed, US Markets Closed	16 <b>NAF: Form 10-Q Due (12/31)</b> <b>LAF &amp; AF: Late Form 10-Q Due (12/31)</b> <b>INT'L: Late Form 20-F Due for FYE 9/30/20</b> <b>APC: Form 5 Due for FYE 12/31, Schedule 13G Due for calendar year 12/31, Form 13F for calendar year-end 12/31, Form 13H-A (12/31)</b>	17 Ash Wednesday  <b>NAF: NT 10-Q Due</b>	18	19	20
21	22  <b>NAF: Late Form 10-Q Due (12/31)</b>	23	24	25	26	27
28						

FEBRUARY 2021							APRIL 2021						
SU	M	T	W	TH	F	SA	SU	M	T	W	TH	F	SA
	1	2	3	4	5	6				1	2	3	
7	8	9	10	11	12	13	4	5	6	7	8	9	10
14	15	16	17	18	19	20	11	12	13	14	15	16	17
21	22	23	24	25	26	27	18	19	20	21	22	23	24
28							25	26	27	28	29	30	

# MARCH 2021

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
	1  <b>LAF: Form 10-K Due for FYE 12/31</b>	2  <b>LAF: NT 10-K Due for FYE 12/31</b>	3	4	5	6
7	8	9	10	11	12	13
14 Daylight Saving Time begins	15	16  <b>LAF: Late Form 10-K Due for FYE 12/31</b> <b>AF: Form 10-K Due for FYE 12/31</b>	17 St. Patrick's Day  <b>AF: NT 10-K Due for FYE 12/31</b>	18	19	20 First Day of Spring
21	22	23	24	25	26	27
28 Palm Sunday Passover begins at sunset	29  <b>APC: Form 11-K (Subj. to ERISA) Due for FYE 9/30/20</b>	30  <b>APC: NT Form 11-K (Subj. to ERISA) Due for FYE 9/30/20</b>	31  <b>AF: Late Form 10-K Due for FYE 12/31</b> <b>NAF: Form 10-K Due for FYE 12/31</b> <b>APC: Form 11-K (Not Subj. to ERISA) Due for FYE 12/31</b>			

MARCH 2021							MAY 2021						
SU	M	T	W	TH	F	SA	SU	M	T	W	TH	F	SA
	1	2	3	4	5	6							1
7	8	9	10	11	12	13	2	3	4	5	6	7	8
14	15	16	17	18	19	20	9	10	11	12	13	14	15
21	22	23	24	25	26	27	16	17	18	19	20	21	22
28	29	30	31				<sup>23</sup> /50	<sup>24</sup> /51	25	26	27	28	29

# APRIL 2021

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
				1 April Fools' Day  <b>NAF: NT 10-K Due for FYE 12/31</b> <b>APC: NT Form 11-K (Not Subj. to ERISA) Due for FYE 12/31</b>	2 Good Friday  SEC Open, US Markets Closed	3
4 Easter Passover ends at nightfall	5	6	7	8	9	10
11	12 <b>APC: Form 13H-Q (3/31)</b>	13 Ramadan begins at sunset  <b>APC: Late Form 11-K (Subj. to ERISA) Due for FYE 9/30/20</b>	14	15  <b>NAF: Late Form 10-K Due for FYE 12/31</b> <b>APC: Late Form 11-K (Not Subj. to ERISA) Due for FYE 12/31</b>	16	17
18	19	20	21 Administrative Professionals Day	22 Earth Day	23	24
25	26	27	28	29	30  <b>INT'L: Form 20-F Due for FYE 12/31</b>	

APRIL 2021						JUNE 2021					
SU	M	T	W	TH	SA	SU	M	T	W	TH	SA
			1	2	3				1	2	3
4	5	6	7	8	9	6	7	8	9	10	11
11	12	13	14	15	16	13	14	15	16	17	18
18	19	20	21	22	23	20	21	22	23	24	25
25	26	27	28	29	30	27	28	29	30		

# MAY 2021

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
						1
2	3 <b>INT'L: NT Form 20-F Due for FYE 12/31</b>	4	5 Cinco de Mayo	6	7	8
9 Mother's Day	10 <b>LAF &amp; AF: Form 10-Q Due (3/31)</b>	11 <b>LAF &amp; AF: NT 10-Q Due</b>	12	13 Ramadan ends	14	15 Armed Forces Day
16	17 <b>INT'L: Late Form 20-F Due for FYE 12/31</b> <b>LAF &amp; AF: Late Form 10-Q Due (3/31)</b> <b>NAF: Form 10-Q Due (3/31)</b> <b>APC: Form 5 Due for FYE 3/31, Form 13F Due for calendar quarter-end 3/31</b>	18 <b>NAF: NT 10-Q Due</b>	19	20	21	22
23	24 <b>NAF: Late Form 10-Q Due (3/31)</b>	25	26	27	28	29
30	31 Memorial Day  SEC Closed, US Markets Closed					

MAY 2021							JULY 2021						
SU	M	T	W	TH	F	SA	SU	M	T	W	TH	F	SA
						1					1	2	3
2	3	4	5	6	7	8	4	5	6	7	8	9	10
9	10	11	12	13	14	15	11	12	13	14	15	16	17
16	17	18	19	20	21	22	18	19	20	21	22	23	24
23 <sup>90</sup>	24 <sup>51</sup>	25	26	27	28	29	25	26	27	28	29	30	31

# JUNE 2021

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
		1  <b>LAF: Form 10-K Due for FYE 3/31</b> <b>APC: Form SD for Conflict Minerals Issuers (12/31)</b>	2  <b>LAF: NT 10-K Due for FYE 3/31</b>	3	4	5
6	7	8	9	10	11	12
13	14 Flag Day  <b>AF: Form 10-K Due for FYE 3/31</b>	15  <b>AF: NT 10-K Due for FYE 3/31</b>	16  <b>LAF: Late Form 10-K Due for FYE 3/31</b>	17	18	19
20 Father's Day First Day of Summer	21	22	23	24	25	26
27	28	29 <b>AF: Late Form 10-K Due for FYE 3/31</b> <b>NAF: Form 10-K Due for FYE 3/31</b> <b>APC: Form 11-K (Subj. to ERISA) Due for FYE 12/31, Form 11-K (Not Subj. to ERISA) Due for FYE 3/31</b>	30 <b>NAF: NT 10-K Due for FYE 3/31</b> <b>APC: NT Form 11-K (Subj. to ERISA) Due for FYE 12/31, NT Form 11-K (Not Subj. to ERISA) Due for FYE 3/31</b>			



JUNE 2021							AUGUST 2021						
SU	M	T	W	TH	F	SA	SU	M	T	W	TH	F	SA
	1	2	3	4	5		1	2	3	4	5	6	7
6	7	8	9	10	11	12	8	9	10	11	12	13	14
13	14	15	16	17	18	19	15	16	17	18	19	20	21
20	21	22	23	24	25	26	22	23	24	25	26	27	28
27	28	29	30				29	30	31				

# JULY 2021

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
				1	2	3
4 Independence Day	5 Independence Day Observed  SEC Closed, US Markets Closed	6	7	8	9	10
11	12  APC: Form 13H-Q (6/30)	13	14  NAF: Late Form 10-K Due for FYE 3/31 APC: Late Form 11-K (Subj. to ERISA) Due for FYE 12/31, Late Form 11-K (Not Subj. to ERISA) Due for FYE 3/31	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

JULY 2021							SEPTEMBER 2021						
SU	M	T	W	TH	F	SA	SU	M	T	W	TH	F	SA
			1	2	3					1	2	3	4
4	5	6	7	8	9	10	5	6	7	8	9	10	11
11	12	13	14	15	16	17	12	13	14	15	16	17	18
18	19	20	21	22	23	24	19	20	21	22	23	24	25
25	26	27	28	29	30	31	26	27	28	29	30		

# AUGUST 2021

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
1	2 <b>INT'L: Form 20-F Due for FYE 3/31</b>	3 <b>INT'L: NT 20-F Due for FYE 3/31</b>	4	5	6	7
8	9 <b>LAF &amp; AF: Form 10-Q Due (6/30)</b>	10 <b>LAF &amp; AF: NT 10-Q Due</b>	11	12	13	14
15	16 <b>LAF &amp; AF: Late Form 10-Q Due (6/30)</b> <b>NAF: Form 10-Q Due (6/30)</b> <b>APC: Form 5 Due for FYE 6/30, Form 13F Due for calendar quarter-end 6/30</b>	17 <b>NAF: NT 10-Q Due</b> <b>INT'L: Late Form 20-F for FYE 3/31</b>	18	19	20	21
22	23 <b>NAF: Late Form 10-Q Due (6/30)</b>	24	25	26	27	28
29	30 <b>LAF: Form 10-K Due for FYE 6/30</b>	31 <b>LAF: NT 10-K Due for FYE 6/30</b>				

AUGUST 2021							OCTOBER 2021						
SU	M	T	W	TH	F	SA	SU	M	T	W	TH	F	SA
1	2	3	4	5	6	7						1	2
8	9	10	11	12	13	14	3	4	5	6	7	8	9
15	16	17	18	19	20	21	10	11	12	13	14	15	16
22	23	24	25	26	27	28	17	18	19	20	21	22	23
29	30	31					<sup>2</sup> / <sub>5</sub> 25	26	27	28	29	30	

# SEPTEMBER 2021

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
			1	2	3	4
5	6 Labor Day  SEC Closed, US Markets Closed	7 Rosh Hashanah begins at sunset	8	9 Rosh Hashanah ends at nightfall	10	11 Patriot Day
12	13  <b>AF: Form 10-K Due for FYE 6/30</b>	14  <b>LAF: Late Form 10-K Due (6/30)</b> <b>AF: NT 10-K Due for FYE 6/30</b>	15 Yom Kippur begins at sunset	16 Yom Kippur ends at nightfall	17	18
19	20	21	22 First Day of Autumn	23	24	25
26	27  <b>APC: Form 11-K (Subj. to ERISA) Due for FYE 3/31</b>	28 <b>AF: Late Form 10-K Due for FYE 6/30</b> <b>NAF: Form 10-K Due for FYE 6/30</b> <b>APC: Form 11-K (Not Subj. to ERISA) Due for FYE 6/30, NT Form 11-K (Subj. to ERISA) Due for FYE 3/31</b>	29  <b>NAF: NT 10-K Due for FYE 6/30</b> <b>APC: NT Form 11-K (Not Subj. to ERISA) Due for FYE 6/30</b>	30		

SEPTEMBER 2021							NOVEMBER 2021								
SU	M	T	W	TH	F	SA	SU	M	T	W	TH	F	SA		
			1	2	3	4				1	2	3	4	5	6
5	6	7	8	9	10	11	7	8	9	10	11	12	13		
12	13	14	15	16	17	18	14	15	16	17	18	19	20		
19	20	21	22	23	24	25	21	22	23	24	25	26	27		
26	27	28	29	30			28	29	30						

# OCTOBER 2021

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
					1	2
3	4	5	6	7	8	9
10	11 Columbus Day  SEC Closed, US Markets Open	12  APC: Late Form 11-K (Subj. to ERISA) Due for FYE 3/31, Form 13H-Q (9/30)	13  NAF: Late Form 10-K Due for FYE 6/30 APC: Late Form 11-K (Not Subj. to ERISA) Due for FYE 6/30	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31 Halloween						

OCTOBER 2021							DECEMBER 2021						
SU	M	T	W	TH	F	SA	SU	M	T	W	TH	F	SA
					1	2				1	2	3	4
3	4	5	6	7	8	9	5	6	7	8	9	10	11
10	11	12	13	14	15	16	12	13	14	15	16	17	18
17	18	19	20	21	22	23	19	20	21	22	23	24	25
24	25	26	27	28	29	30	26	27	28	29	30	31	

# NOVEMBER 2021

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
	1  <b>INT'L: Form 20-F for FYE 6/30</b>	2  <b>INT'L: NT 20-F Due for FYE 6/30</b>	3	4	5	6
7 Daylight Saving Time ends	8	9  <b>LAF &amp; AF: Form 10-Q Due (9/30)</b>	10  <b>LAF &amp; AF: NT 10-Q Due (9/30)</b>	11 Veterans Day  SEC Closed, US Markets Open	12	13
14	15 <b>LAF &amp; AF: Late Form 10-Q Due (9/30)</b> <b>NAF: Form 10-Q Due (9/30)</b> <b>APC: Form 5 Due for FYE 9/30, Schedule 13F Due for calendar quarter-end 9/30</b>	16  <b>NAF: NT 10-Q Due (9/30)</b> <b>INT'L: Late Form 20-F for FYE 6/30</b>	17	18	19	20
21	22  <b>NAF: Late Form 10-Q Due (9/30)</b>	23	24	25 Thanksgiving Day  SEC Closed, US Markets Closed	26	27
28	29 Hanukkah begins at sunset  <b>LAF: Form 10-K Due for FYE 9/30</b>	30  <b>LAF: NT 10-K Due for FYE 9/30</b>				

NOVEMBER 2021							JANUARY 2022						
SU	M	T	W	TH	F	SA	SU	M	T	W	TH	F	SA
1	2	3	4	5	6								1
7	8	9	10	11	12	13	2	3	4	5	6	7	8
14	15	16	17	18	19	20	9	10	11	12	13	14	15
21	22	23	24	25	26	27	16	17	18	19	20	21	22
28	29	30					<sup>23</sup> / <sub>30</sub>	<sup>24</sup> / <sub>31</sub>	25	26	27	28	29

# DECEMBER 2021

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
			1	2	3	4
5	6 Hanukkah ends at nightfall	7 Pearl Harbor Remembrance Day	8	9	10	11
12	13	14 <b>LAF: Late Form 10-K Due for FYE 9/30</b> <b>AF: Form 10-K Due for FYE 9/30</b>	15 <b>AF: NT 10-K Due for FYE 9/30</b>	16	17	18
19	20	21 First Day of Winter	22	23	24 Christmas Eve Christmas Day Observed  SEC Closed, US Markets Closed	25 Christmas Day
26 First Day of Kwanzaa	27 <b>APC: Form 11-K (Subj. to ERISA) Due for FYE 6/30</b>	28 <b>APC: NT Form 11-K (Subj. to ERISA) Due for FYE 6/30</b>	29 <b>AF: Late Form 10-K Due for FYE 9/30</b> <b>NAF: Form 10-K Due for FYE 9/30</b> <b>APC: Form 11-K (Not Subj. to ERISA) Due for FYE 9/30</b>	30 <b>NAF: NT 10-K Due for FYE 9/30</b> <b>APC: NT Form 11-K (Not Subj. to ERISA) Due for FYE 9/30</b>	31 New Year's Eve	

DECEMBER 2021							FEBRUARY 2022							
SU	M	T	W	TH	F	SA	SU	M	T	W	TH	F	SA	
			1	2	3	4				1	2	3	4	5
5	6	7	8	9	10	11	6	7	8	9	10	11	12	
12	13	14	15	16	17	18	13	14	15	16	17	18	19	
19	20	21	22	23	24	25	20	21	22	23	24	25	26	
26	27	28	29	30	31		27	28						

# JANUARY 2022

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
						1 Kwanzaa ends New Year's Day
2	3	4	5	6	7	8
9	10	11 APC: Late Form 11-K (Subj. to ERISA) Due for FYE 6/30	12	13 NAF: Late Form 10-K Due for FYE 9/30 APC: Late Form 11-K (Not Subj. to ERISA) Due for FYE 9/30	14	15
16	17 Martin Luther King, Jr. Day  SEC Closed, US Markets Closed	18	19	20	21	22
23	24	25	26	27	28	29
30	31 INT'L: Form 20-F Due for FYE 9/30					





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## About Donnelley Financial Solutions (DFIN)

DFIN is a leading global risk and compliance company.

We're here to help you make smarter decisions with insightful technology, industry expertise and data insights at every stage of your business and investment lifecycles.

As markets fluctuate, regulations evolve and technology advances, we're there. And through it all, we deliver confidence with the right solutions in moments that matter.

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