

DFIN

ESG Regulatory Report

June 24, 2021

DFIN provides real-time access to the rapidly changing disclosure landscape

Corporations are beginning to disclose Environmental, Social and Governance (ESG) data in their Securities and Exchange Commission, (SEC) compliance filings.

- The SEC recently announced a “Request for Comment on Climate-risk Disclosure and ESG”
- The SEC is reviewing Climate and ESG disclosures to assess compliance and disclosure obligations
- The SEC Announces and Enforcement Task Force Focused on Climate and ESG

Are you keeping up with the evolution of Climate-risk and ESG disclosures filed with the SEC, by your peers and competitors, or industry leading corporations?

EDGAR Pro is the research and benchmarking platform designed to help you uncover intelligence from the rapidly evolving SEC filing landscape. This create an opportunity to analyze SEC disclosures on the evolving trends on climate and ESG reporting.

To stay abreast of this rapidly evolving disclosure landscape, our ESG weekly report, created with EDGAR Pro, provides examples, showing who is currently reporting ESG data to the SEC and how they are incorporating the data into their SEC filings.

- Focus on - Board of Directors Oversight of ESG – Disclosures are rapidly evolving as well as board oversight of ESG and Sustainability.
- The examples below provide insight into how corporations are disclosing Board oversight of ESG and Sustainability in their annual 10-K filings.

EXAMPLE # 1:

Registrant: Noble Corp

Ticker: NE

Topic: ESG – Board Oversight of ESG

Document: 10-K

FYE: 12/31/2020

The screenshot shows the EDGAR Pro website interface. At the top, there is a navigation bar with tabs for Dashboard, Today, Company, Industry, Search, Screener, and Analytics. Below this, the company name "NOBLE CORP (NE)" and the filing type "10-K filed 3/12/2021" are displayed. A search bar and a "Go" button are visible. The main content area shows a list of documents under the heading "Available Information". The list includes:

- Articles of Association;
- Code of Business Conduct and Ethics;
- Corporate Governance Guidelines;
- Audit Committee Charter;
- Compensation Committee Charter;
- Nominating, Governance and Sustainability Committee Charter; and
- Finance Committee Charter.

Excerpt for the Nobel Corp 2021 10-K

Our Annual Report on Form 10-K, Quarterly Reports on Form 10-Q, Current Reports on Form 8-K and amendments to those reports filed or furnished pursuant to Section 13(a) or 15(d) of the Exchange Act are available free of charge at our website. The US Securities and Exchange Commission (the “SEC”) maintains an internet site that contains reports, proxy and information statements, and other information regarding issuers that file electronically with the SEC at <http://www.sec.gov>.

You may also find information related to our corporate governance, board committees and company code of ethics (and any amendments or waivers of compliance) at our website.

Among the documents you can find there are the following:

- Articles of Association;
- Code of Business Conduct and Ethics;
- Corporate Governance Guidelines;
- Audit Committee Charter;
- Compensation Committee Charter;
- **Nominating, Governance and Sustainability Committee Charter;** and
- Finance Committee Charter.

EXAMPLE # 2:

Registrant: Killroy Realty Corp

Ticker: KRC

Topic: ESG - Board Oversight of ESG

Document: 10-K

FYE: 12/31/2020

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KILROY REALTY CORP (KRC) 10-K filed 2/12/2021

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Available Information; Website Disclosure; Corporate Governance Documents

Kilroy Realty Corporation was incorporated in the state of Maryland on September 13, 1996 and Kilroy Realty, L.P. was organized in the state of Delaware on October 2, 1996. Our principal executive offices are located at 12200 W. Olympic Boulevard, Suite 200, Los Angeles, California 90064. Our telephone number at that location is (310) 481-8400. Our website is www.kilroyrealty.com. The information found on, or otherwise accessible through, our website is not incorporated into, and does not form a part of, this annual report on Form 10-K or any other report or document we file with or furnish to the SEC. All reports we will file with the SEC are available free of charge via EDGAR through the SEC website at www.sec.gov. All reports that we will file with the SEC will also be available free of charge on our website at www.kilroyrealty.com as soon as reasonably practicable after we file those materials with, or furnish them to, the SEC.

The following documents relating to corporate governance are also available on our website under "Investors —Overview —Governance Documents" and available in print to any security holder upon request:

- Corporate Governance Guidelines;
- Code of Business Conduct and Ethics;
- Audit Committee Charter;
- Executive Compensation Committee Charter;
- Nominating / Corporate Governance Committee Charter; and
- Corporate Social Responsibility and Sustainability Committee Charter.

Excerpt for the Kilroy Realty Corp 2021 10-K

The following documents relating to corporate governance are also available on our website under "Investors —Overview —Governance Documents" and available in print to any security holder upon request:

- Corporate Governance Guidelines;
- Code of Business Conduct and Ethics;
- Audit Committee Charter;
- Executive Compensation Committee Charter;
- Nominating / Corporate Governance Committee Charter; and
- Corporate Social Responsibility and Sustainability Committee Charter.

EXAMPLE # 3:

Registrant: Micron Technology Inc.

Ticker: MU

Topic: ESG – Board Oversight of ESG

Document: 10-K

FYE: 10/19/2020

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MICRON TECHNOLOGY INC (MU) 10-K filed 10/19/2020

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Available Information

Our executive offices are located at 8000 South Federal Way, Boise, Idaho 83716-9632 and our telephone number is (208) 368-4000. Information about us is available at our website, www.micron.com. Also available on our website are our Corporate **Governance** Guidelines, **Governance** and **Sustainability Committee Charter**, Compensation Committee Charter, Audit Committee Charter, Finance Committee Charter, and Code of Business Conduct and Ethics. Any amendments or waivers of our Code of Business Conduct and Ethics will also be posted on our website within four business days of the amendment or waiver. Copies of these documents are available to shareholders upon request. Information contained or referenced on our website is not incorporated by reference and does not form a part of this Annual Report on Form 10-K.

Investors and others should note that we announce material financial information about our business and products through a variety of means, including our investor relations website (investors.micron.com), filings with the U.S. Securities and Exchange Commission ("SEC"), press releases, public conference calls, and webcasts. We use these channels to achieve broad, non-exclusionary distribution of information to the public and for complying with our disclosure obligations under Regulation FD. Therefore, we encourage investors, the media, and others interested in our company to review the information we post on such channels.

Our filings are available free of charge on our website as soon as reasonably practicable after they are electronically filed with, or furnished to, the SEC, including our annual and quarterly reports on Forms 10-K and 10-Q and current reports on Form 8-K, our proxy statements, and any amendments to those reports or statements. The SEC's website, www.sec.gov, contains reports, proxy and information statements, and other information regarding issuers that file electronically with the SEC. The content on any website referred to in this Form 10-K is not incorporated by reference in this Form 10-K unless expressly noted.

ITEM 1A. RISK FACTORS

In addition to the factors discussed elsewhere in this Form 10-K, the following are important factors, the order of which is not necessarily indicative of the level of risk that each poses to us, which could cause actual results or events to differ materially from those contained in any forward-looking statements made by us. Any of these factors could have a material adverse effect on our business, results of operations, financial condition, or stock price. Our operations could also be affected by other factors that are presently unknown to us or not considered significant.

[The effects of the COVID-19 outbreak could adversely affect our business, results of operations, and financial condition.](#)

The effects of the public health crisis caused by the COVID-19 outbreak and the measures being taken to limit COVID-19's spread are uncertain and difficult to predict, but may include, and in some cases, have included and may

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Excerpt for the Micron Technology In 2021 10-K

“Also available on our website are our Corporate Governance Guidelines, Governance and **Sustainability Committee Charter**, Compensation Committee Charter, Audit Committee Charter, Finance Committee Charter, and Code of Business Conduct and Ethics. Any amendments or waivers of our Code of Business Conduct and Ethics will also be posted on our website within four business days of the amendment or waiver. Copies of these documents are available to shareholders upon request. Information contained or referenced on our website is not incorporated by reference and does not form a part of this Annual Report on Form 10-K.”

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