



FACT SHEET | FILE 16

File16



File16 delivers a streamlined process to put you in control of your Section 16 filings. Our online, secure, self-service application helps you better manage the many moving parts inherent in Forms 3, 4 and 5 reporting.

File16

File16 is more than just software. Our solution enables Section 16 reporting professionals to meet their regulatory requirements accurately, efficiently and on time.

User friendly

- Save and easily select reporting owners and issuers
- Create and preview filings
- Maintain a footnote library allowing usage across multiple reporting owners and forms
- Easily save, print and e-mail drafts
- Track holdings, manage securities and maintain historical information to expedite the creation of new forms
- Test or live file directly with the SEC

Secure and compliant

- Our rigorous security offers protection at the document, application and infrastructure levels
- AT 101 certification and constant testing keeps us ahead of potential breaches
- Built-in safeguards to flag non-compliance with SEC requirements

World-class support

- Supported by an experienced team of technical and EDGAR filing experts, dedicated to resolving issues and providing best practices, available 24/5

Additional features

- Allows multiple log-ins and audit trails to user edits and activity
- Archive and track SEC submissions and their status
- Upload files from the SEC or other SEC-compliant XML sources
- Stay informed on system enhancements and important SEC changes

Flexible pricing

Personal account For officer, director or 10% beneficial owner self-reporting Section 16 filings: \$605.00 per year/unlimited filings	Multiple reporting owners For preparers of Section 16 documents: \$75.00/filing Pay-per-filing	1 – 10 reporting owners \$358.00 per quarter/ unlimited filings
11 – 25 account \$715.00 per quarter/ unlimited filings	26 – 50 reporting owners \$1,430.00 per quarter/ unlimited filings	51+ reporting owners \$2,438.00 per quarter/ unlimited filings

Learn about DFIN's end-to-end risk and compliance solutions.

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