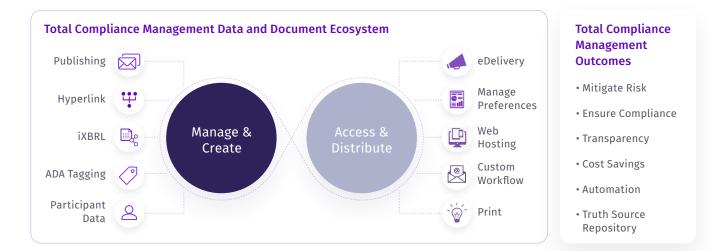


Introducing Total Compliance Management

Everything you need to meet today's modernization challenges. All in one solution.

WHAT IS TOTAL COMPLIANCE MANAGEMENT?	Total Compliance Management is DFIN's holistic approach to providing a customized, audit-traceable and centralized compliance program. It allows clients to adhere to complex components of SEC regulations while seamlessly integrating ADA compliance into the web hosting experience.
WHAT SERVICES DOES TOTAL COMPLIANCE MANAGEMENT OFFER?	DFIN brings together content creation and content delivery to offer Total Compliance Management. The DFIN service team manages all program fluidity (fund lineups, new products, product-to-fund relationships, filings), confirms validity of linking and ensures final versions are all correct.



FACT SHEET | TOTAL COMPLIANCE MANAGEMENT

DFINsolutions.com

WHY IS COMPLIANCE MORE IMPORTANT NOW?	As your company moves toward meeting new regulations such as the Tailored Shareholder Reports rule, communicating with a wide range of diverse investors in digital channels is more important than ever before. Backed by DFIN experience, service and expertise, Total Compliance Management can accelerate your organization and provide you with a one-stop ecosystem to meet all of today's regulatory challenges.
WHAT CAN TOTAL COMPLIANCE MANAGEMENT DO FOR MY BUSINESS?	 Total Compliance Management will allow you to: Save on costs. Total Compliance Management has a fixed, predictable, transparent cost model with no surprises. Streamline automated workflows, including the creation, review, management and distribution of your prospectuses, reports and other documents. Prepare and solve for current and future SEC regulations.
HOW DOES THE TCM SOLUTION LEVERAGE THE FULL SUITE OF DFIN PRODUCTS?	If your firm currently utilizes an Arc Suite product, certain synergies will exist between it and your TCM needs via DFIN's seamless integration process.
WHY SHOULD I PARTNER WITH DFIN?	DFIN is an expert in content creation, management, distribution and web hosting, which are cornerstones for operationalizing the new SEC rules. We are client focused and have an intimate understanding of complete product/fund relationships and needs. In fact, filing experience and infrastructure are at the core of our business. DFIN dominates the market for '40 Act filing and currently files approximately 40% of the total universe of funds and products with the SEC.
HOW DO I GET STARTED WITH TOTAL COMPLIANCE MANAGEMENT?	Put the integrated power of our end-to-end solution to work for your organization today. For a free consultation, visit DFINsolutions .com.

Partner with DFIN and realize the benefits of Total Compliance Management.

Visit **DFINsolutions**.com