



FACT SHEET | ARCDIGITAL

# ArcDigital®: Compliance Management Built for Investment Companies

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ArcDigital supports all the key functions required for digital content delivery, including a centralized document repository, compliance material tracking and digital asset management all in a one-stop ecosystem.

ArcDigital gives stakeholders easy access to digital assets — and the power to deliver them to recipients via the channels they prefer. With access to real-time reporting, you can see who has received which digital assets, when they received them and through which channels. It fits seamlessly into your distribution process so you can protect and advance your brand.

## Simplify Regulatory Compliance

With the increasing pace and impact of SEC regulations, communicating with a wide range of investors via digital channels is more important than ever. ArcDigital supports all SEC rules with an all-in-one solution for compliance management, providing a digital audit trail. It is easily implemented and ensures quality content delivery, creating a start-to-finish customer engagement experience.

### **ADAPTS TO YOUR WORKFLOWS**

Accelerate the transition to digital engagement with a platform that aligns with your unique environment and workflows. Discover the efficiencies that come with having all of your compliance content in one place, anytime you need it.

### **DESIGNED FOR THE FUTURE**

Built by experts who know the investment industry inside and out, ArcDigital is designed to grow seamlessly alongside your evolving business goals and industry regulations, all while continuing to deliver a quality user experience.

### **CUSTOMIZED CONTENT**

Your stakeholders can customize outgoing digital assets to provide their clients with a more personal branded experience while benefiting your bottom line.

## PROTECT AND ADVANCE THE BRAND

Support client marketing strategies to manage transformation, budgets, brand consistency and image.

## MINIMIZE COSTS

Manage costs efficiently while maintaining a positive shareholder experience.

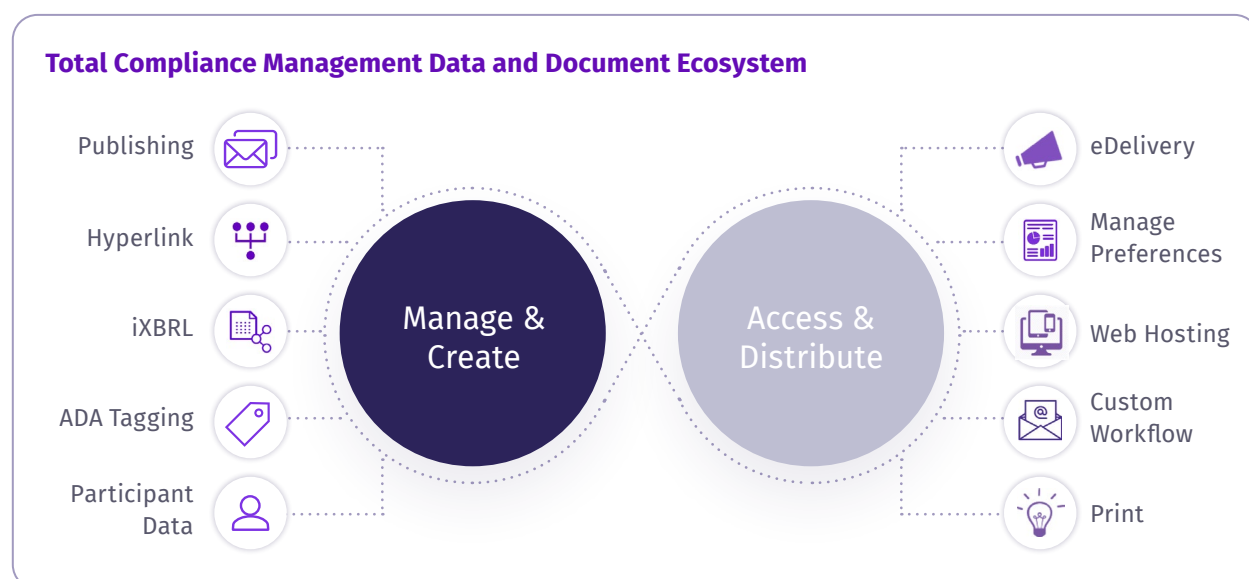
# Discover How ArcDigital Solves for All of Your Investment Company Digital Compliance Management Needs

## Total Compliance Management

Total Compliance Management (TCM) is a customized, audit-traceable and centralized compliance program that brings together content creation and delivery. It enables clients to meet complex SEC regulations while seamlessly integrating ADA compliance into the web-hosting experience. The DFIN service team manages all program fluidity (fund lineups, new products, product-to-fund relationships, filings), verifies link validity and ensures the accuracy of all final versions.

Total Compliance Management will allow you to:

- **Save on costs.** TCM has a fixed, predictable, transparent cost model with no surprises.
- **Streamline automated workflows.** Streamline the creation, review, management and web hosting of your regulatory assets, including prospectuses, reports and other documents.
- **Optimize distribution.** Leverage DFIN's single-source approach to distributing your information in the most cost-effective manner possible, whether it be print or eDelivery.
- **Prepare and solve for current and future SEC regulations.** Built by SEC experts, TCM is specifically designed to meet regulatory challenges, now and in the future.



## Web Hosting

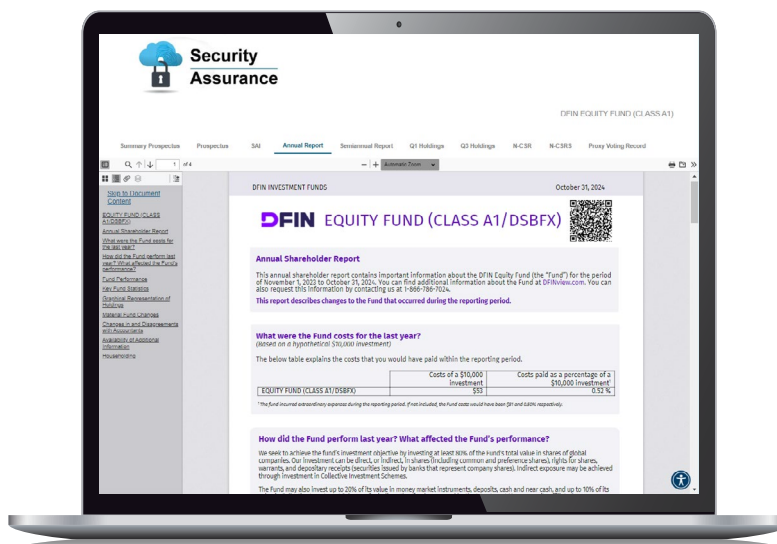
With DFIN Web Hosting, you can comply with evolving SEC rules while providing a consistent investor and prospect experience that reinforces your brand. A component of ArcDigital, DFIN Web Hosting is always up to date with evolving SEC regulations. DFIN currently hosts over 100,000 documents and executes more filings than any other provider or services company. And as investor expectations evolve and new rulings come out — which can add greater complexity and document volume — our mobile-optimized interface stands ready for anytime, anywhere engagement.

- **Efficient.** DFIN Web Hosting monitors SEC filings on a real-time basis, and then dynamically loads and updates the most accurate versions of the print-ready PDF documents in a proprietary and secure repository.

- **Streamlined.** Your customers can access all the information they need on one website, including:

- ✓ Statutory and Summary Prospectuses, Supplements, and SAIs
- ✓ Shareholder Reports
- ✓ Fund Fact Sheets
- ✓ Q1 & Q3 Holdings
- ✓ N-CSR and N-CSRS
- ✓ Proxy Voting Records
- ✓ ISPs, USPs and Financial Statements to Support SEC Variable Products 498A Rule
- ✓ Third-party Funds for Variable Annuity and Life Products
- ✓ Additional Documents, Such As Market Commentaries

- **Flexible.** DFIN Web Hosting can integrate with an existing website or create and manage your information, adapting to your technology needs and keeping within your brand guidelines. We also offer optional website accessibility and ADA tagging.



## eDelivery

ArcDigital eDelivery is an all-in-one digital content delivery solution that intuitively manages the distribution of compliance materials via email while ensuring you're satisfying regulatory compliance. It's less costly, easy to manage and environmentally friendly.

eDelivery includes customizable email templates for a wide range of regulatory documents, including, but not limited to:

- ✓ Summary and Statutory Prospectuses
- ✓ Annual and Semi-Annual Shareholder Reports
- ✓ Fund Fact Sheets
- ✓ Supplements
- ✓ Shareholder Letters
- ✓ Tender Offers

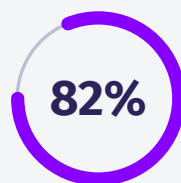
eDelivery answers the shift to digital documents that many clients and the SEC are moving toward. It's a more efficient distribution solution that:

- **Lowens your costs.** Compared to traditional print and mail fulfillment, eDelivery costs pennies on the dollar — and it eliminates postage.
- **Lightens your workload.** Using DFIN eDelivery in conjunction with ArcDigital Web Hosting removes the burden of sourcing, updating and hosting newly filed documents.
- **Provides comprehensive reporting and follow-up.** With DFIN, you can generate reports on click counts, opens, reads, bounces and unsubscribes. It also gives you the ability to send additional information via email that may be pertinent to your customers.

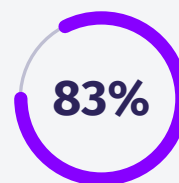
### Most Choose Electronic Delivery

Regardless of age, a majority of investors receive investor communications electronically.\*

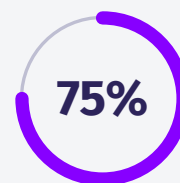
\*SIFMA eDelivery survey results (July 2022)



18- to  
34-year-olds



35- to  
54-year-olds



55+

# Americans with Disabilities Act (ADA) Compliance Made Easy

DFIN's ADA program focuses on PDF tagging and website navigation. Our ADA tagging service ensures your web hosting is compliant, and PDFs have "read out loud" screen reader-enabled capabilities. By making your content accessible to everyone, you'll have a stronger, more inclusive investor experience while staying compliant.

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## Partner with DFIN to Experience the Future of Digital Content Delivery

DFIN is the industry's most experienced provider of financial services technology and the largest filing agent in the U.S. Our expertise enabled the development of a comprehensive platform that quickly and effectively solves today's digital content delivery challenges. And as your digital assets delivery practices evolve, ArcDigital will evolve with you too — ensuring you always benefit from the simplicity and ease of using one platform to meet all of your content delivery needs.

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### About Donnelley Financial Solutions (DFIN)

Donnelley Financial Solutions (DFIN) is the leading provider of innovative software and technology-enabled financial regulatory and compliance solutions.

We provide regulatory filing and data solutions to public and private companies, mutual funds, and other regulated investment firms. We support every stage of our clients' business and investment lifecycles.

Learn about DFIN's end-to-end risk and compliance solutions

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